

# SWEAT

## Snow Water Equivalent with Altimetry



Team Orange

Alpbach Summer School 2016

21<sup>th</sup> of July 2016



# Outline



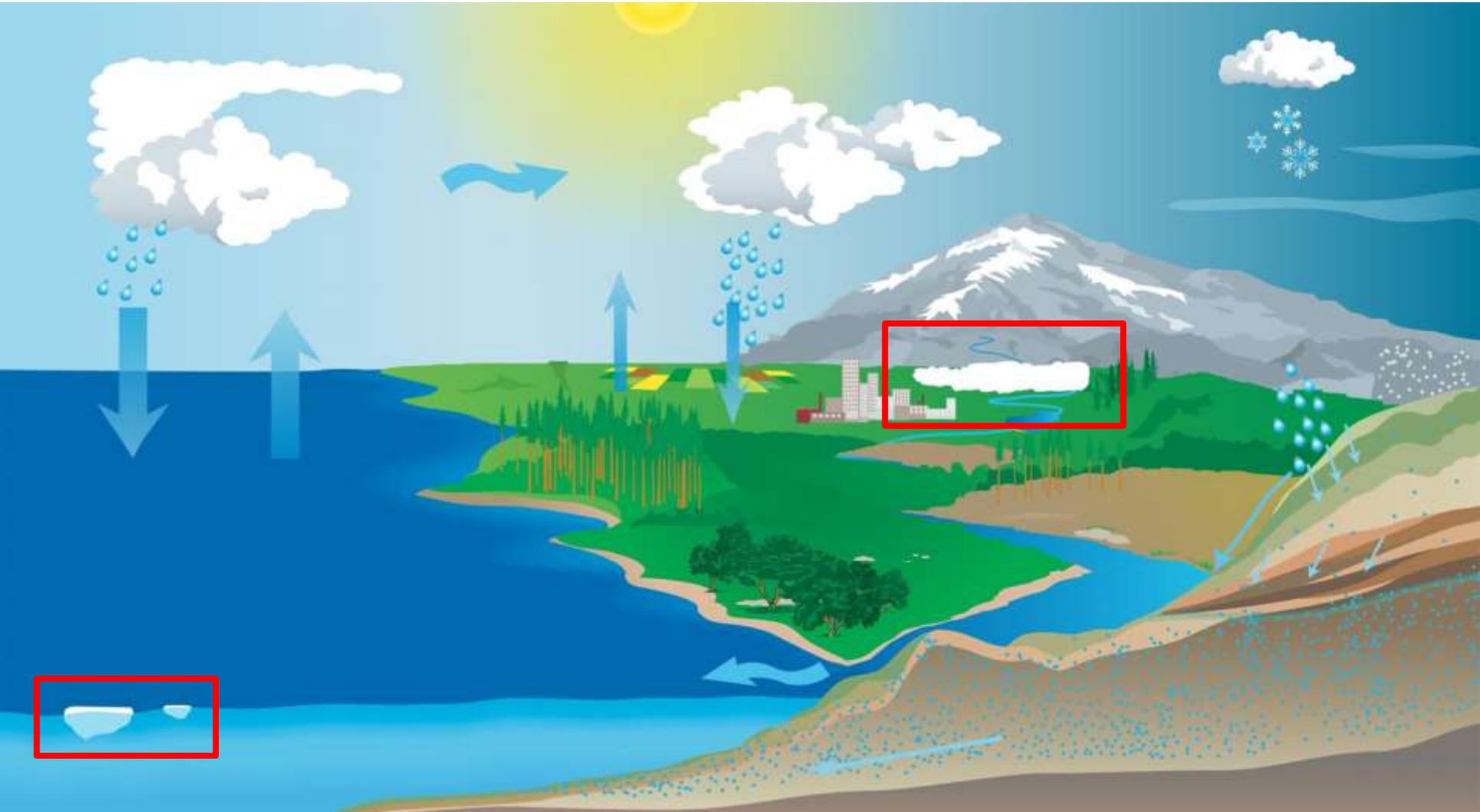
- 
- Introduction
  - Scientific objectives and requirements
  - Measurement principle
  - Payload
  - System engineering

# Outline



- Introduction
- Scientific objectives and requirements
- Measurement principle
- Payload
- System engineering

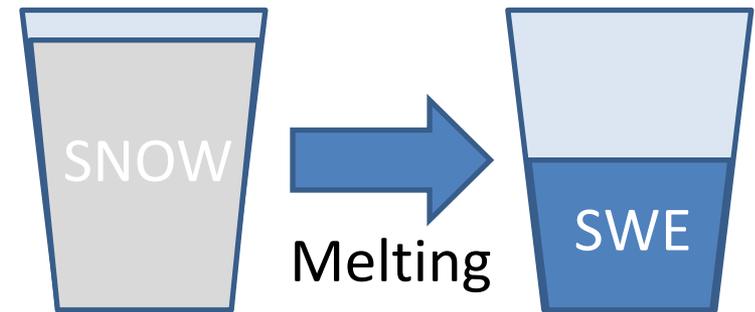
# Global Water Cycle



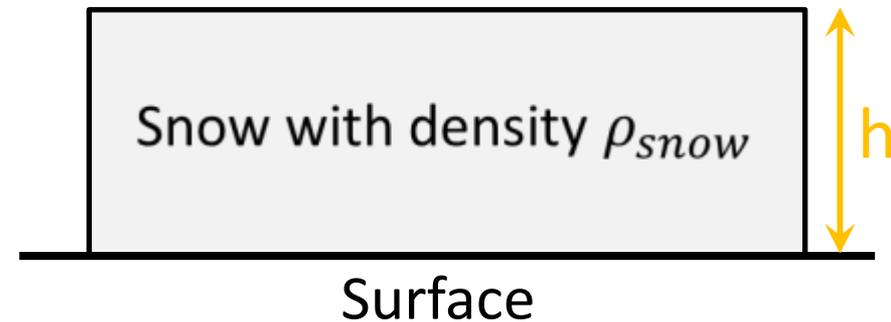


# Snow Water Equivalent (SWE)

- Volume of water stored in a volume of snow
  - This is the relevant variable (storage) regarding snow



- $$SWE = h * \frac{\rho_{snow}}{\rho_{water}}$$



# Applications of SWE

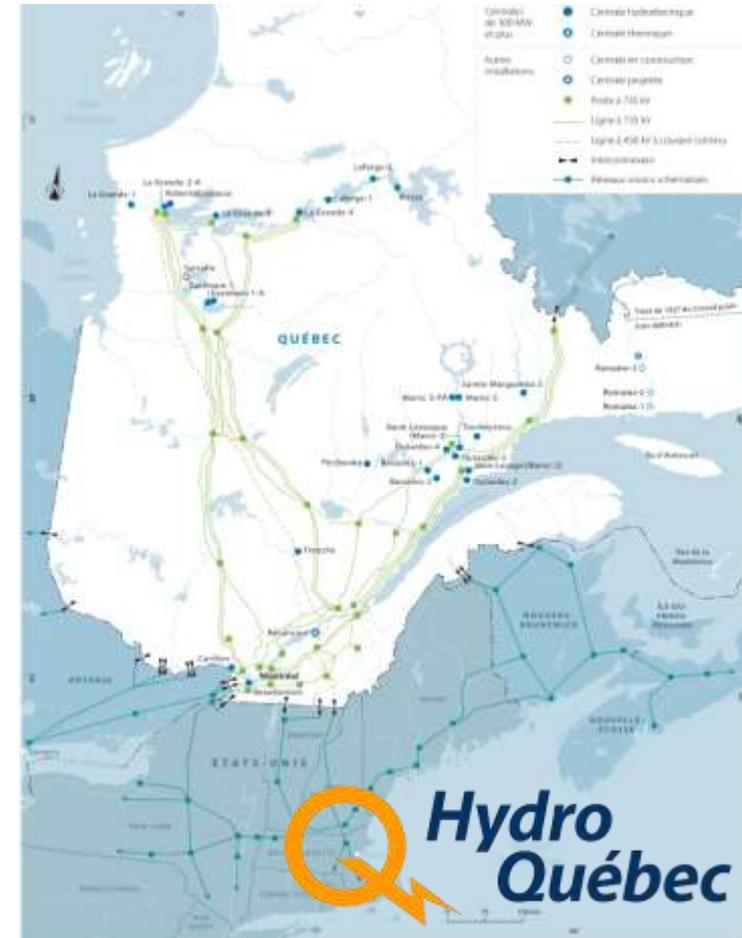


- Prediction models
  - Hydrological
  - Climate
  - Numerical weather prediction models (e.g. ECMWF)
- Earth's energy balance (albedo)
- Navigation (ships)
- Flood prediction
- Hydropower/dams

# James Bay Project



- Series of hydroelectric power stations on the La Grande River, north of Canada
- Generating capacity 17000 MW
  - Revenue of ~ €4.85 billion
  - 1/3 of precipitation is snow
- €1,600,000,000 due to snow



(<http://www.hydroquebec.com/production/centrale-hydroelectrique.html>)

# Available SWE products



- Observations
  - In-situ observations
  - Airborne (IceBridge)
  - Space missions (AMSR-E): RMSE of 11-32 cm
- Combined product: GlobSnow (& H-SAF) with a RMSE of 10-30 mm

→ Gap between accurate but sparse in-situ observations and global coarse-scale inaccurate observations

# Scales of snow information



In-situ stations



SWEAT



AMSR-E



# Users of GlobSnow



## 1. International organisations

- **World Health Organization (WHO)**
- **Food and Agriculture Organisation (FAO)**
- Strategic Planning for Geoscience for a sustainable Earth (BRGM)
- International Gorilla Conservation Program (IGCO)
- Earth Science Advisory Committee (ESAC)
- **Centre of Terrestrial Carbon Dynamics (CTCD)**
- **Laboratory for Climate Sciences and the Environment (LSCE)**



## 2. Climate institutes such as the WCRP, ECMWF, EEA

## 3. National institutes

- **MeteoSwiss**
- Swiss Agency for the Environment, Forests and Landscapes
- National Observatory of Athens
- National Oceanography Centre, Southampton (NOCS)
- Italian National Research Council (CNR)
- **Flemish Water Authority (AWZ), Belgium**
- **Netherlands Ministry of Agriculture, Nature and Food Quality**



## 4. Universities

- University of Bremen
- ...



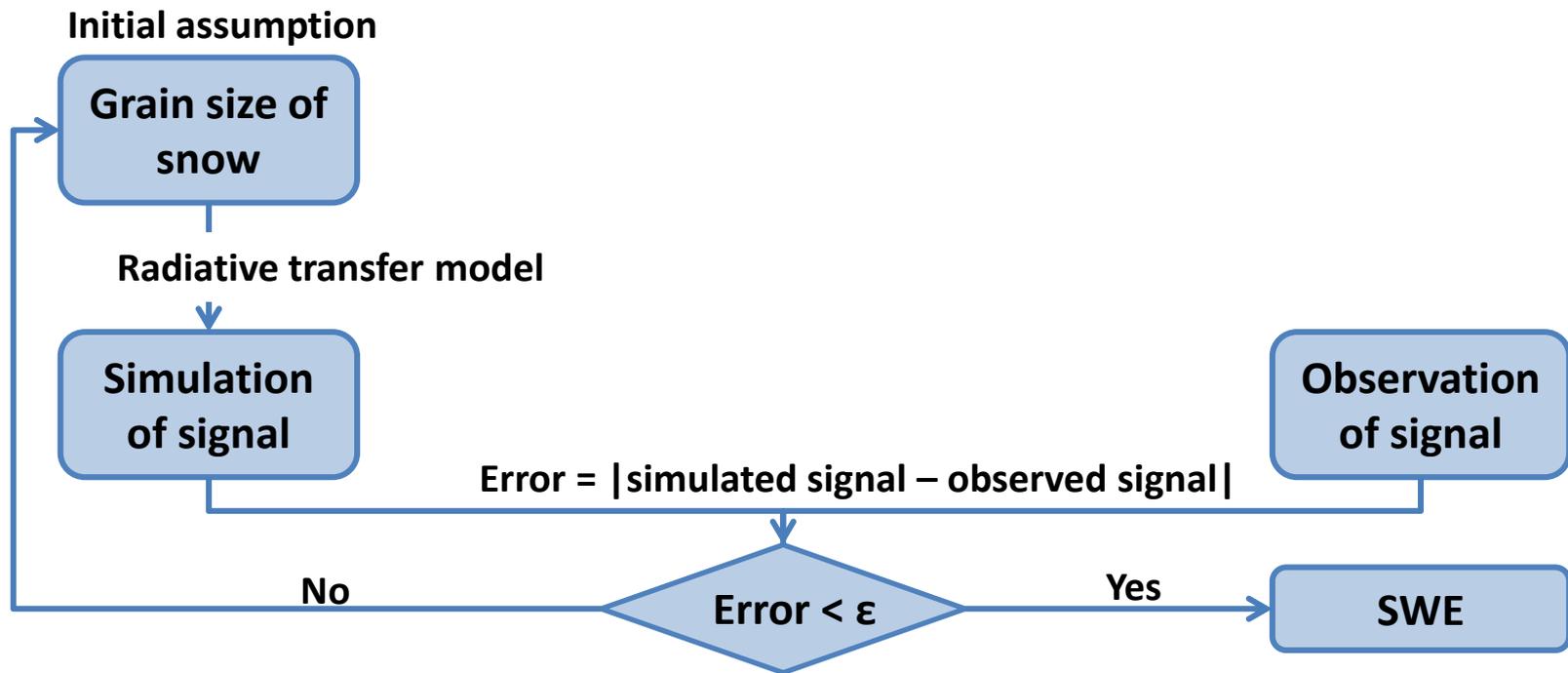
landbouw, natuur en  
voedselkwaliteit

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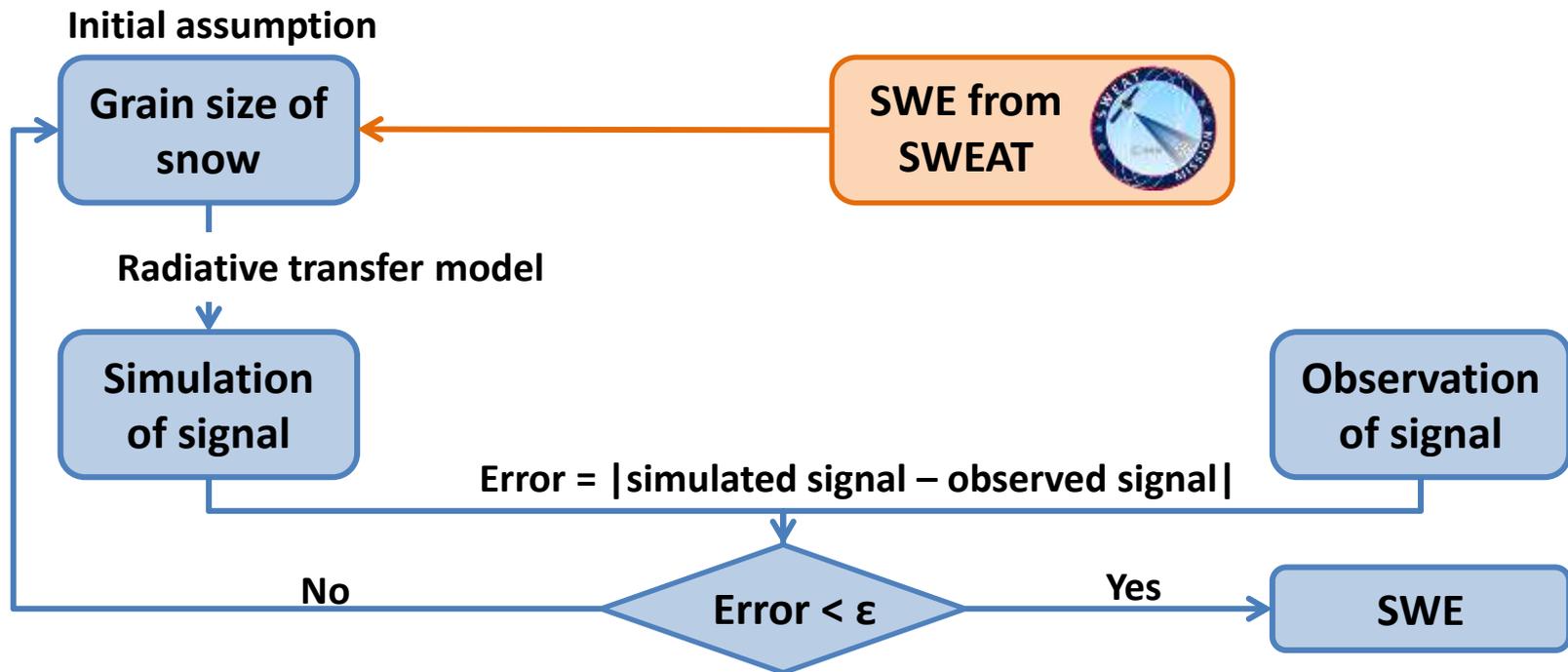
# Scientific objective 1: SWE from passive microwave algorithm



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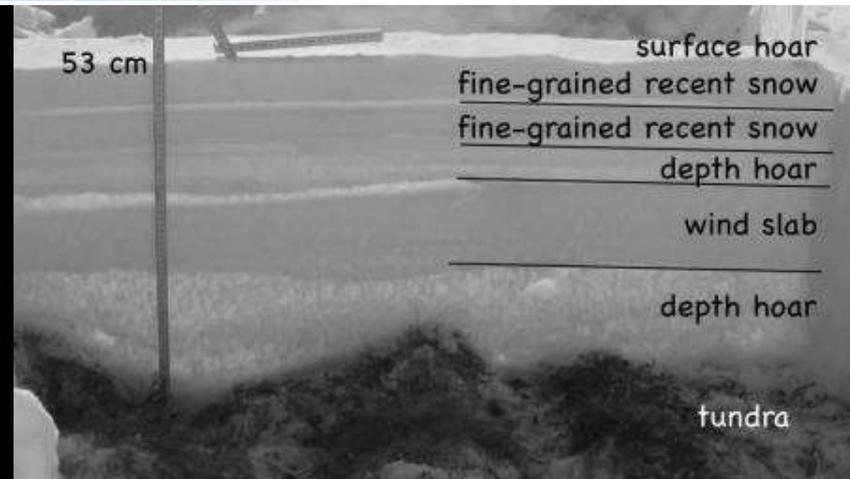
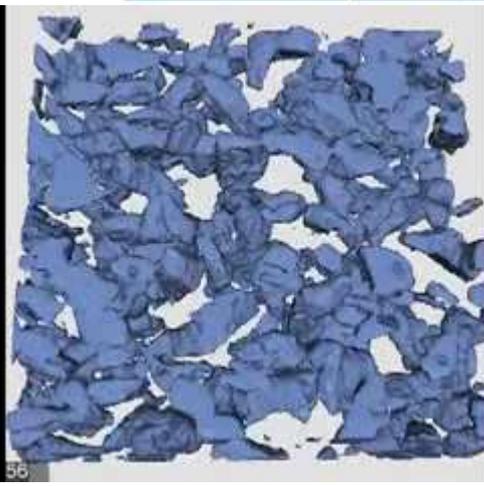
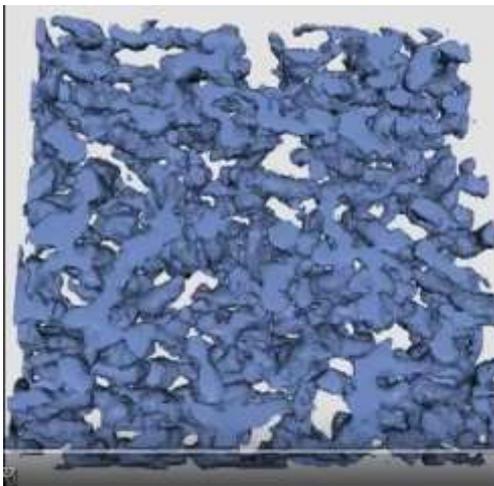
SO1: Improving estimation of global SWE from passive microwave products



# S01: 50 shades of snow



(Libbrecht, 2005)



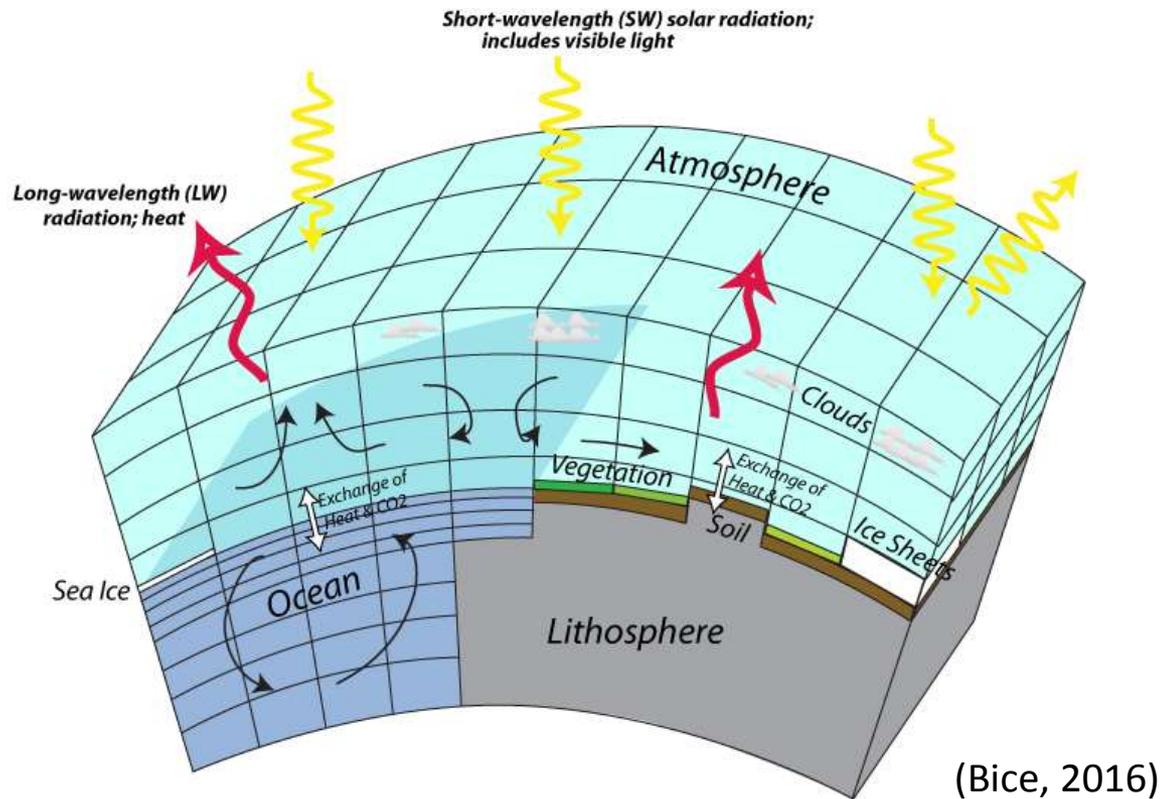
([https://youtu.be/A\\_BX6C9crBU](https://youtu.be/A_BX6C9crBU))

(<http://blogs.scientificamerican.com/expeditions/files/2012/04/Fig8replace.jpg>)

# Scientific objective 2



## SO2: Improve numerical snow and climate models

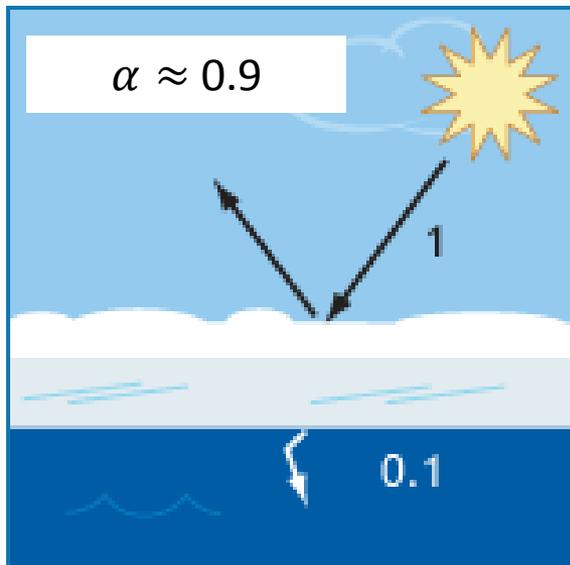




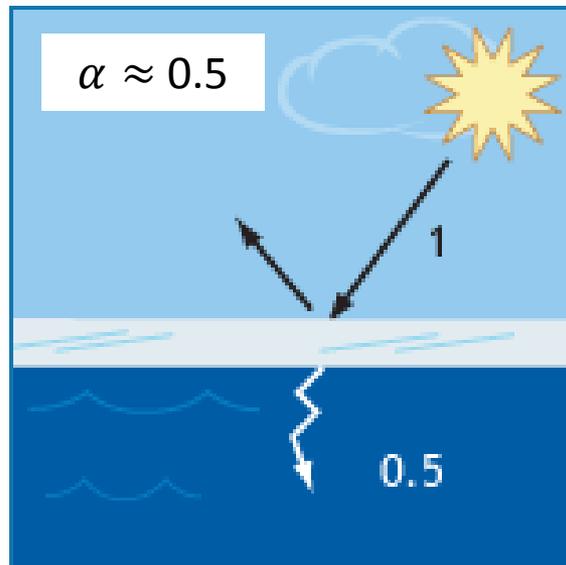
# SO2: Snow in the energy balance

$Q_R = Q(1 - \alpha) + L_{in} + L_{out} \rightarrow$  Climate models are sensitive to the albedo  $\alpha$  (Furtado et al., 2014)

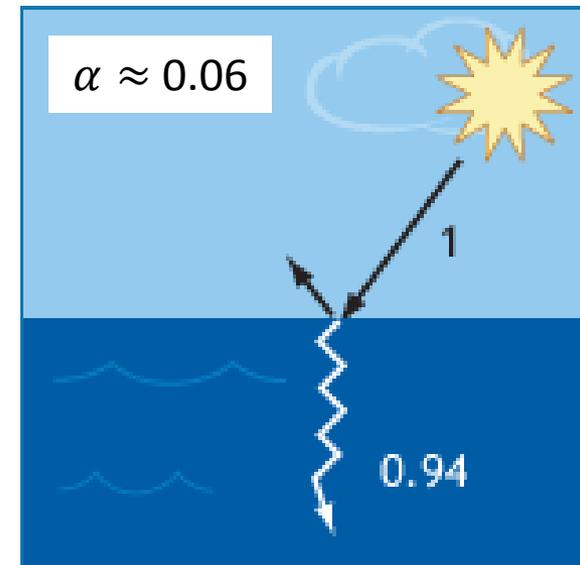
**Ice with snow**



**Bare Ice**



**Open ocean**

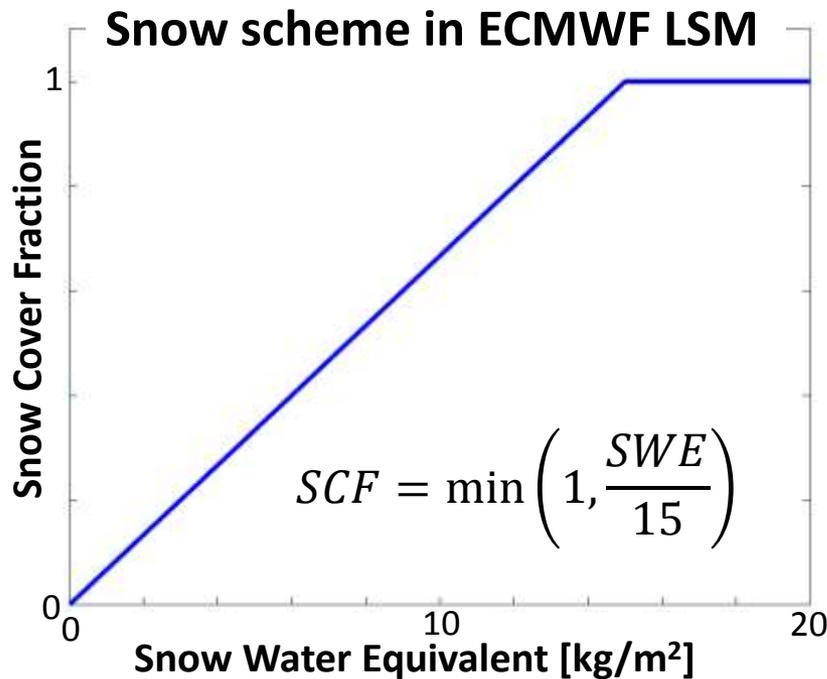


(Edited figure from NSIDC)

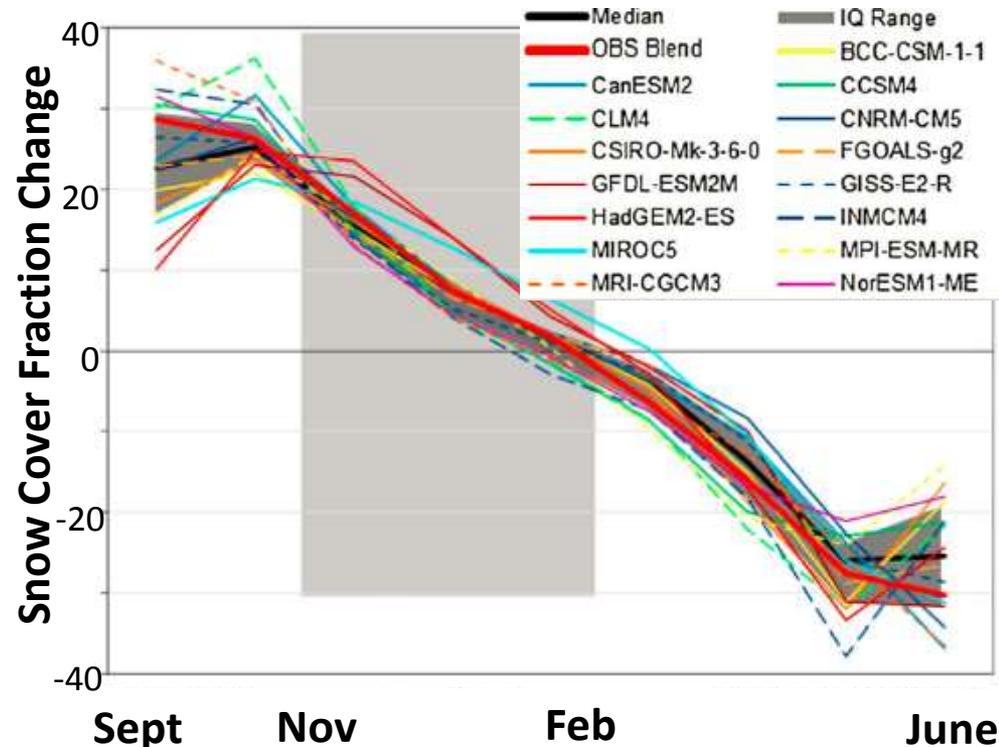
# SO2: Climate Model



- Spatial resolution:  $\sim 100$  km x 100 km grid
- Snow parameterisation:  $SCF = f(SWE)$

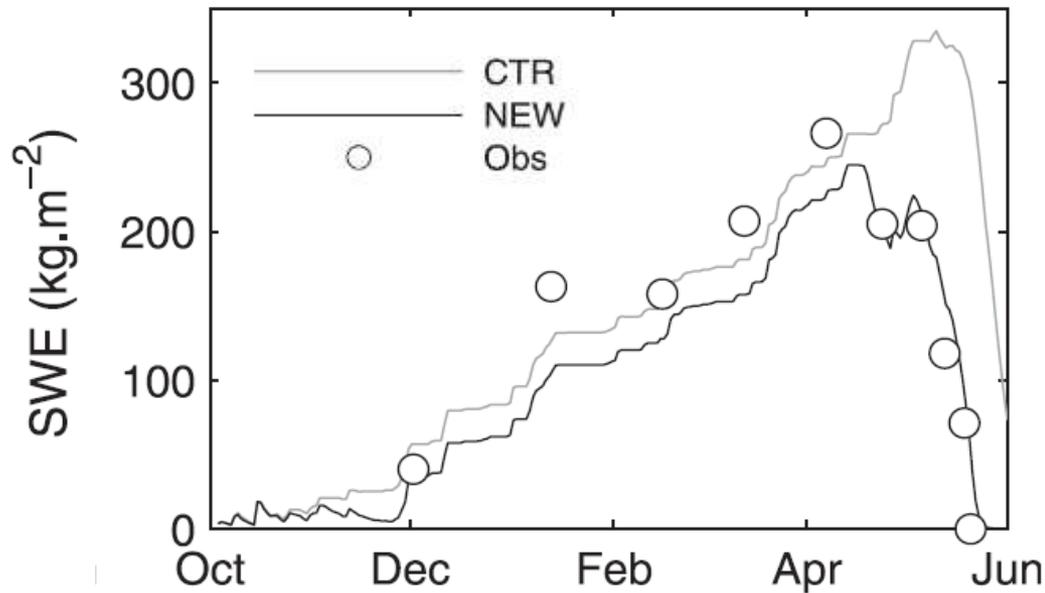


(Dutra et al., 2010)



(Thackeray et al., 2015)

# SO2: Improve Land Surface Models



(Dutra et al., 2010)

- Shortwave radiation bias
- Similar to the expected changes due to climate change
- Validation: 10 Observations → SWEAT: 80 Observations



# Scientific objectives overview

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- Main goals:
  1. Improving estimation of global SWE from passive microwave products
  2. Improve numerical snow and climate models
- Secondary goals:
  1. Improve understanding of relationship between microwave signals and snow evolution
  2. Reduce uncertainty in sea ice thickness measurements due to the snow pack



# Scientific objectives overview

- Main goals:
    1. Improving estimation of global SWE from passive microwave products
    2. Improve numerical snow and climate models
  - Secondary goals:
    1. Improve understanding of relationship between microwave signals and snow evolution
    2. Reduce uncertainty in sea ice thickness measurements due to the snow pack
- SWE on sea ice
- SWE on land

# Scientific requirements



## Scientific requirements

### 1. SWE on sea ice

- SR1.1 Temp. res. 3 d
- SR1.2 Spat. res. 1 km
- SR1.3 Coverage in polar regions
- SR1.4 Accuracy 10 % for SWE > 0.3 m
- SR1.5 Duration of 5 years

### 2. SWE on land

- SR2.1 Temp. res. 3 d
- SR2.2 Spat. res. 1 km
- SR2.3 Coverage in polar regions
- SR2.4 Accuracy 10 % for SWE > 0.3 m
- SR2.5 Duration of 5 years

# Scientific requirements

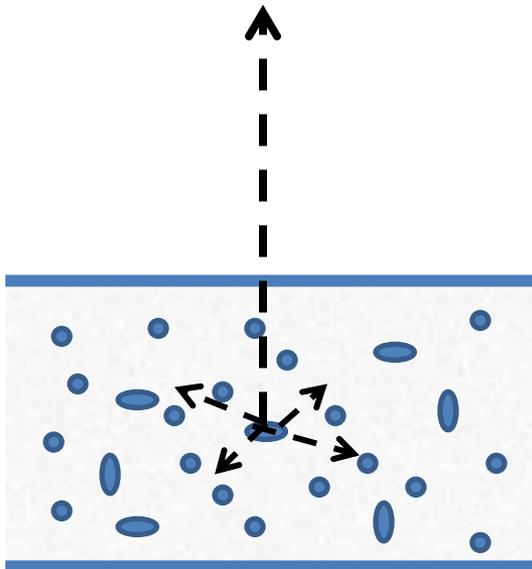


1. Temporal resolution: 3 days (ESA-GEWEX, 2015; Nghiem & Tsai, 2001)
2. Spatial resolution: 1 km (ESA-GEWEX, 2015; NRC, 2007)
3. SWE accuracy: (CoReH2O, 2012)
  - SWE > 0.3 m: 10%
  - SWE < 0.3 m: 3 cm
4. Coverage in polar regions
  - Snow on land
  - Arctic seas
5. Duration of 5 years: multiple-year statistics

# How to measure SWE

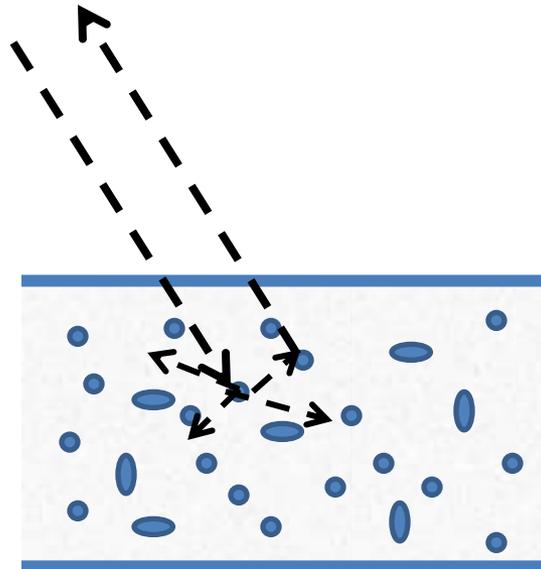


**Emission**  
- AMSR-E



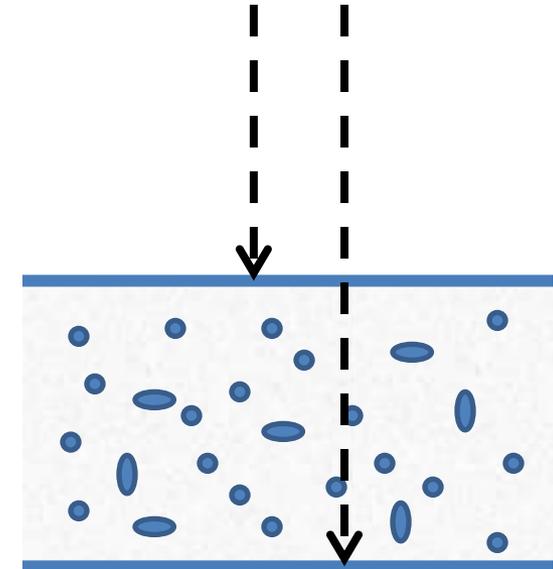
- Coarse resolution
- Inaccurate

**Backscatter**  
- CoReH2O



- Grain size
- Layering

**“Thickness”**  
- SWEAT



- Direct link to SWE

# Observation requirements



## Scientific requirements

## Observation requirements

### 1. SWE on sea ice

SR1.1 Temp. res. 3 d  
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### 2. SWE on land

SR2.1 Temp. res. 3 d  
SR2.2 Spat. res. 1 km  
SR2.3 Coverage in polar regions  
SR2.4 Accuracy 10 % for SWE > 0.3 m  
SR2.5 Duration of 5 years

### 1. Freeboard height

OR1.1 Vertical accuracy = 0.06 m  
relative to surface

### 2. Snow surface height

OR2.1 Vertical accuracy = 0.06 m  
relative to ground

### 3. Ground height

OR3.1 Vertical accuracy = 0.06 m  
relative to surface

# Observation requirements



- Minimal absolute accuracy on SWE: 0.03 m (relative accuracy of 10 % for SWE > 0.3 m)

- $$h = SWE \frac{\rho_{H2O}}{\rho_{snow}}$$

- Maximum  $\rho_{snow} = 500 \text{ kg/m}^3 \rightarrow h_{min} = 0.06 \text{ m}$

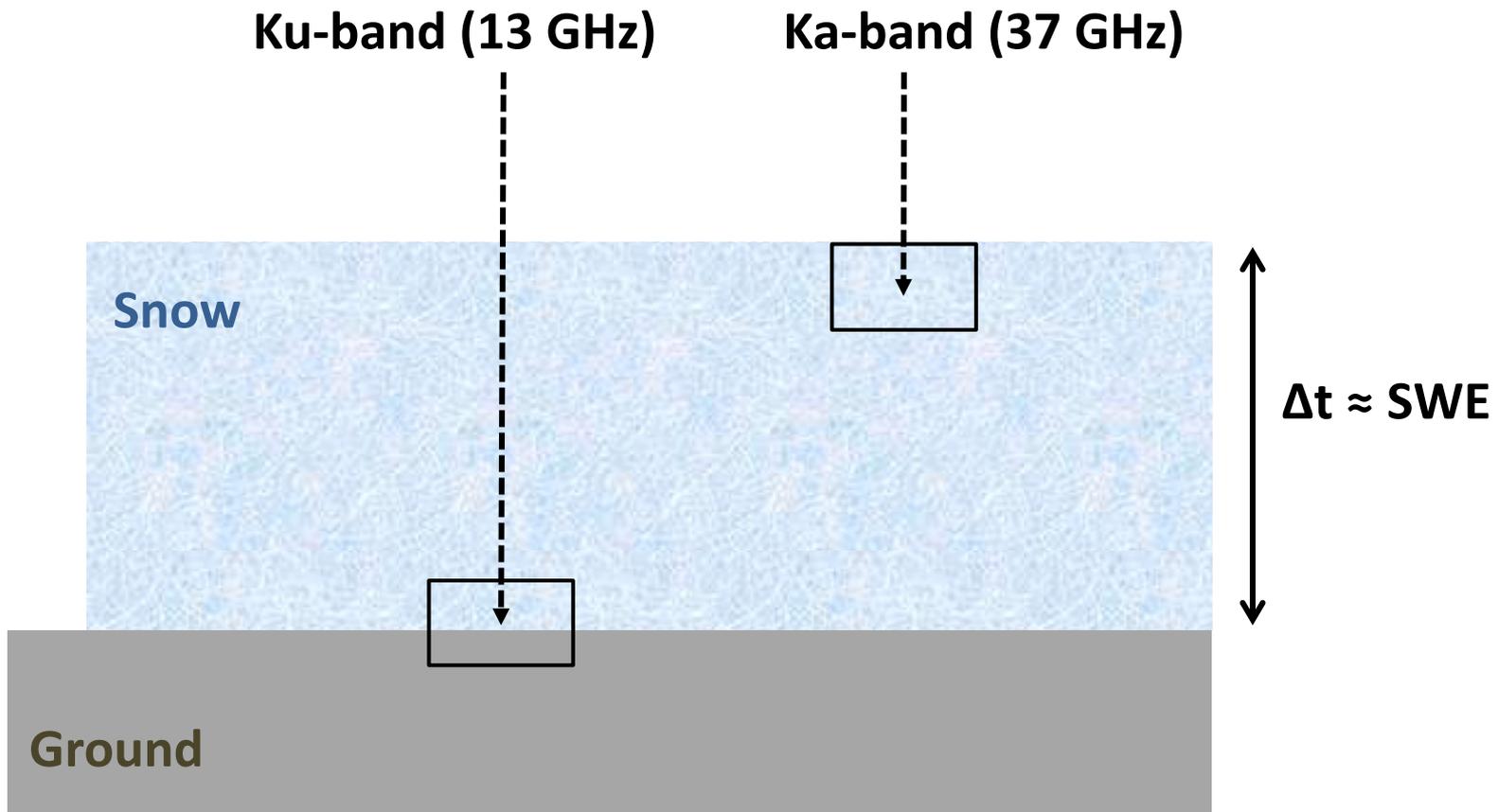
→ Determine snow height with accuracy of  $\pm 0.06 \text{ m}$

# Outline



- Introduction
- Scientific objectives and requirements
- **Measurement principle**
- Payload
- System engineering

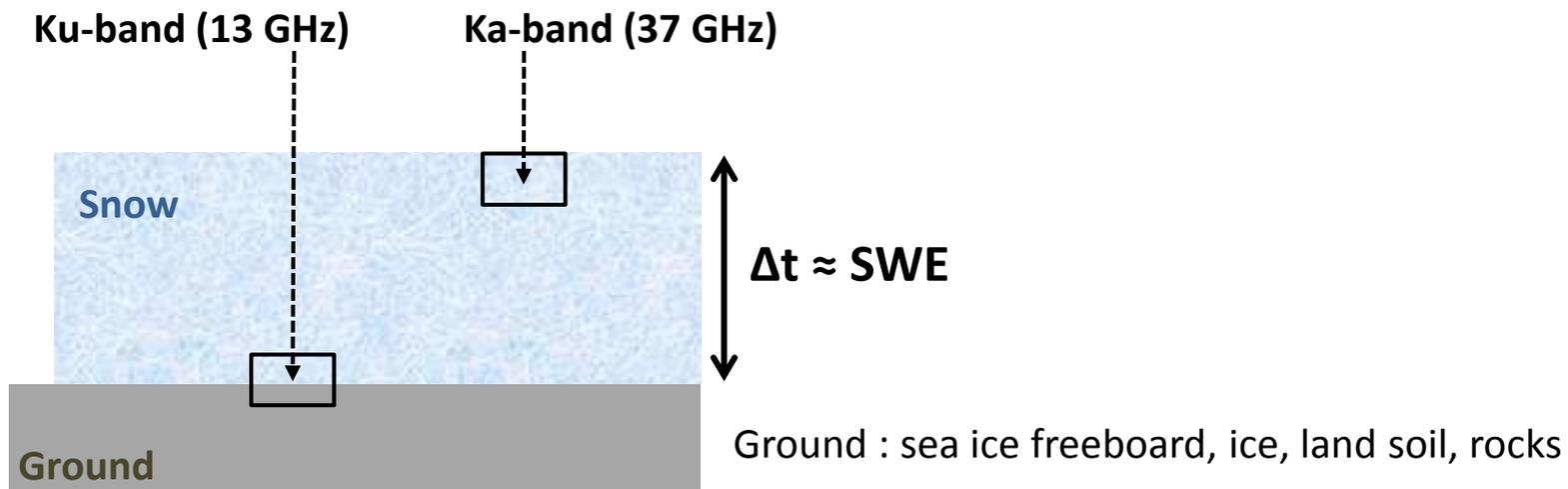
# New measurement principle from space



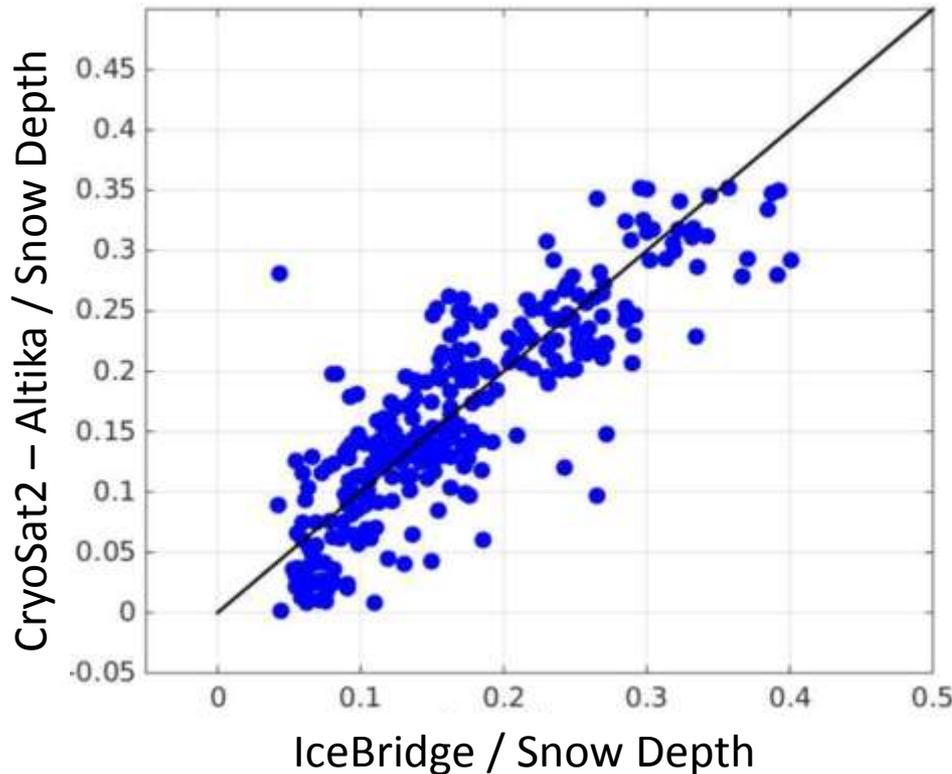
# New measurement principle from space



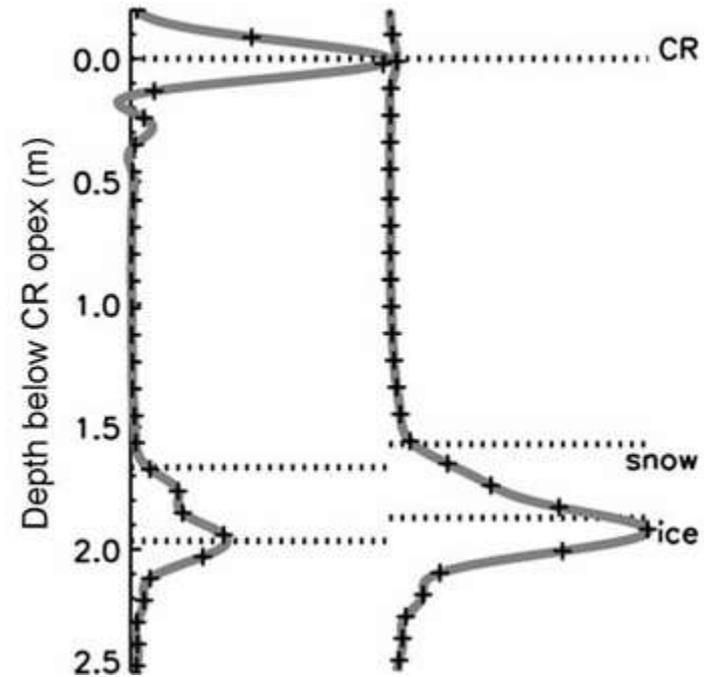
- Based on Leinss et al. (2015) and Guneriussen et al. (2001)
- Observable:  $SWE = h * \rho$
- Measurement:  $\Delta t = h * \frac{n}{c}$
- Refractive index  $n$ :  $n^2 = 1 + 1.7\rho + 0.63\rho^2$  (Maetzler, 1987)



# Ka-/Ku-band Penetration



Snow Depth from Ku/Ka-band height difference  
(Guerreiro et al., 2016)



Ku-band reflection from snow-ice interface.  
Corner Reflector as reference on above  
(Willat et al., 2011)



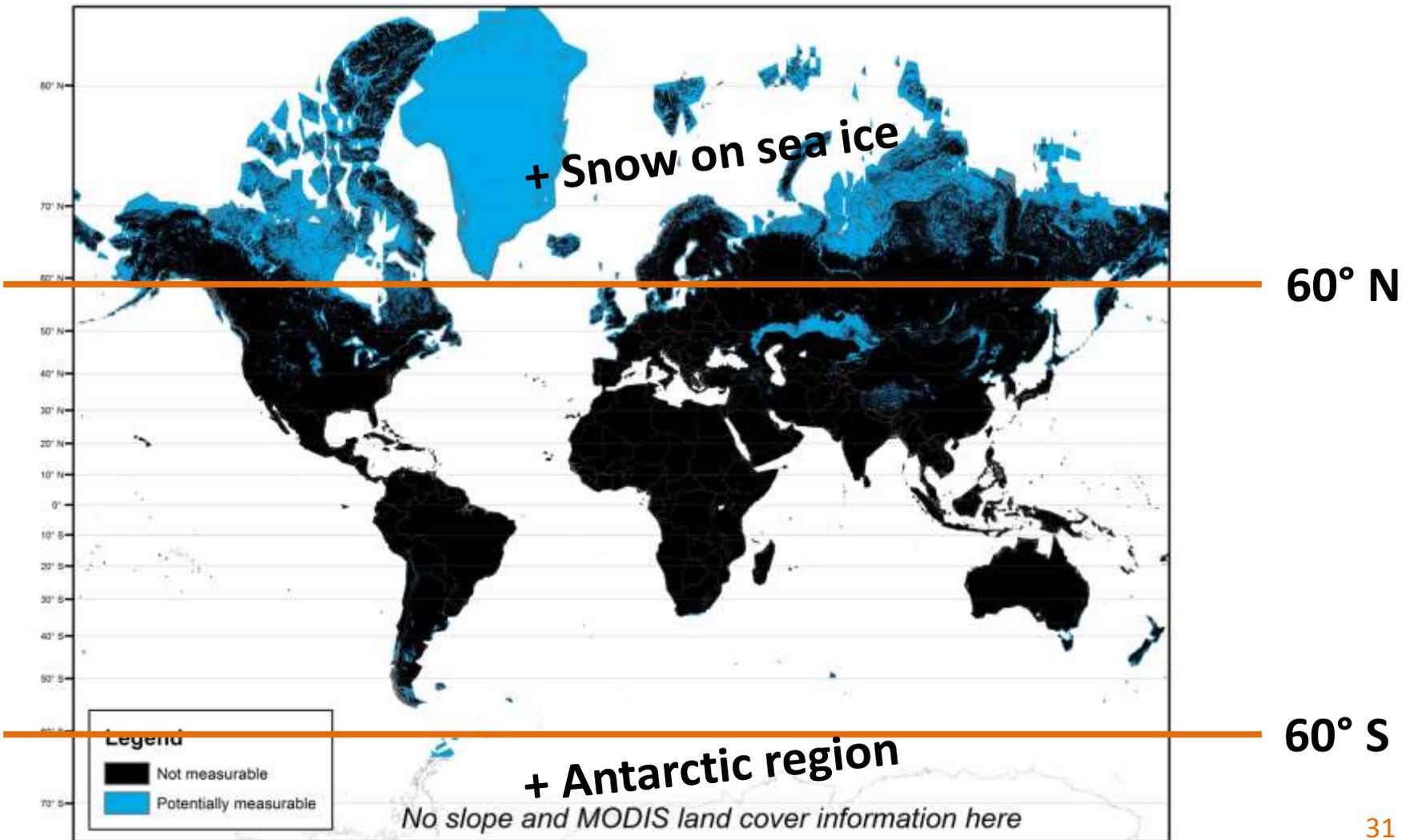
# Coverage

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Reliable SWE estimation is limited to:

- Köppen-Geiger climate zones with possible snow
- Sparse vegetation (MODIS land cover map)
- Slope  $\leq 1^\circ$  due to altimeter principles (GMTED slope map)

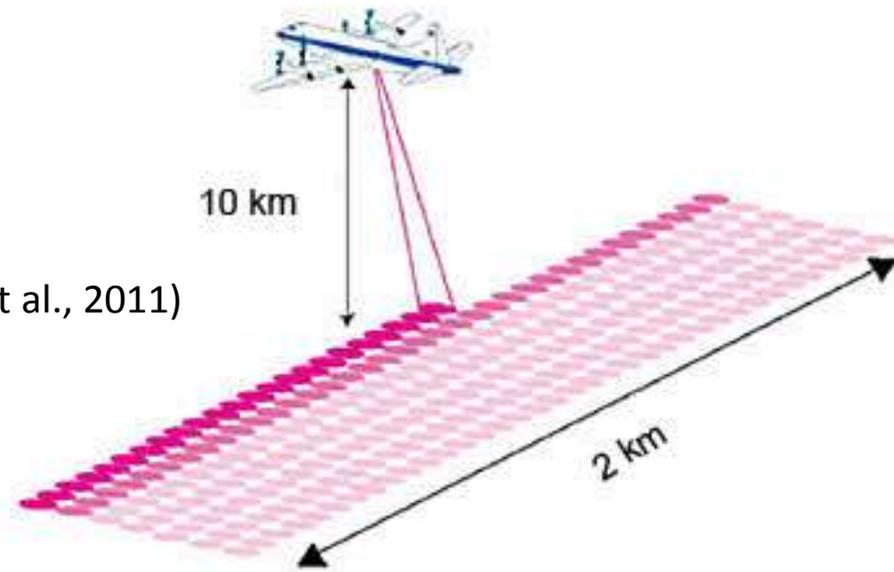
# Coverage



# Airborne campaign



- Laser airborne campaign to complement the microwave measurements
  - When: First 2 winters, early, middle and late winter
  - Where:
    - Greenland
    - Arctic sea ice
    - Finland
- LVIS Laser (Icebridge) (Blair et al., 2011)
  - Swath: 2 km
  - Hor. resolution: 20 m
  - Accuracy: 6 cm





# Calibration/validation of SWE

- Dedicated ground campaigns
  - In-situ measurements of
    - SWE
    - Density
    - Snow height
    - Snow microstructure
    - ...
  - On land and sea-ice
  - In coordination with airborne laser altimetry
  - Example: CryoVex



# Instrument requirements



## Scientific requirements

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### 2. SWE on land

- SR2.1 Temp. res. 3 d
- SR2.2 Spat. res. 1 km
- SR2.3 Coverage in polar regions
- SR2.4 Accuracy 10 % for SWE > 0.3 m
- SR2.5 Duration of 5 years

## Observation requirements

### 1. Freeboard height

- OR1.1 Vertical accuracy = 0.06 m relative to surface

### 2. Snow surface height

- OR2.1 Vertical accuracy = 0.06 m relative to ground

### 3. Ground height

- OR3.1 Vertical accuracy = 0.06 m relative to surface

## Instrument requirements

### 1. Ku-band altimeter

- IR1.1 Altimeter acc. = 70 ps

### 2. Ka-band altimeter

- IR2.1 Altimeter acc. = 70 ps

### 3. Ku-band altimeter

- IR3.1 Altimeter acc. = 70 ps



# Instrument requirements

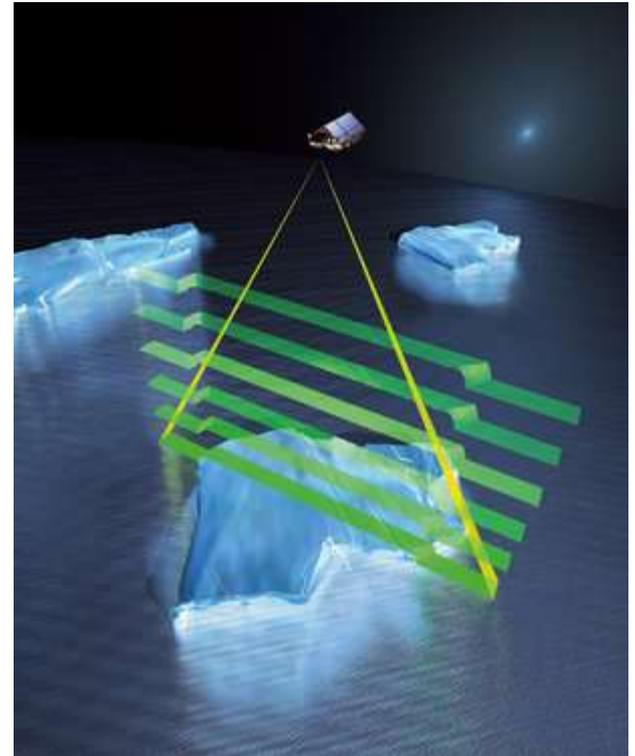
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- Relative vertical accuracy = 0.06 m between Ka- and Ku-band
- But:
  - 0.03 m respectively
  - SNR and other uncertainties
  - Vertical accuracy = 0.01 m
- $\Delta t = \frac{2r}{c}$ 
  - Accuracy of 70 ps needed for 1 cm accuracy

# Bonus products



- Sea ice freeboard: with the Ku-band
- Ice sheet elevation: with the Ku-band



(ESA CryoSat)

# Outline

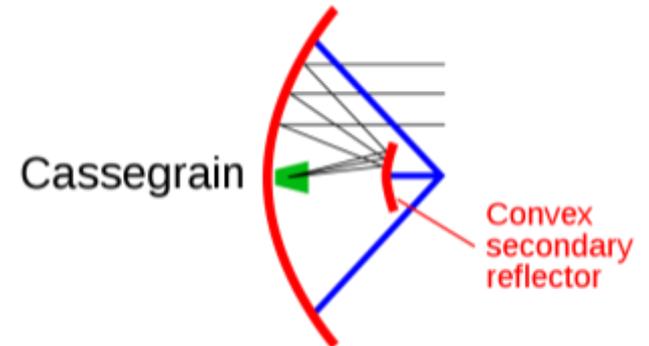


- Introduction
- Scientific objectives and requirements
- Measurement principle
- **Payload**
- System engineering

# Payload Description



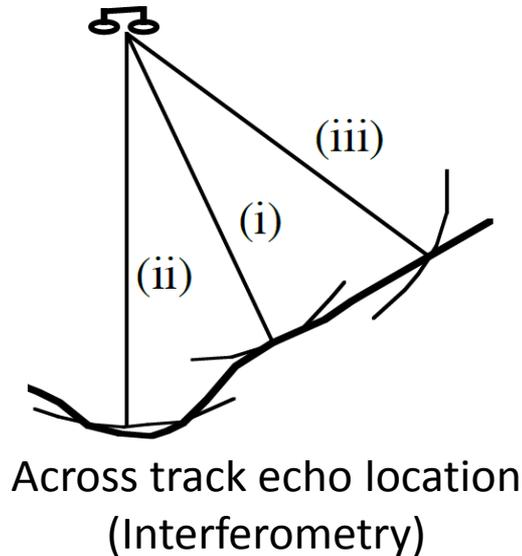
- Ku- and Ka-band altimeters
- Bistatic system
- Dual frequency
- Instruments calibrated on-board
- Parabolic antennas



# Limitations of altimetry on slopes



- CryoSat limited to slopes below  $0.4^\circ$  (T. Parrinello, personal communication)
  - To overcome this: Swath Processing (Foresta et al., 2014; Gray et al., 2013)
  - Exploiting the full waveform of CryoSat SARIn mode data (the entire swath)
- Applicable to slopes between  $0.5^\circ$  and  $2^\circ$
- 2 orders of magnitude more data

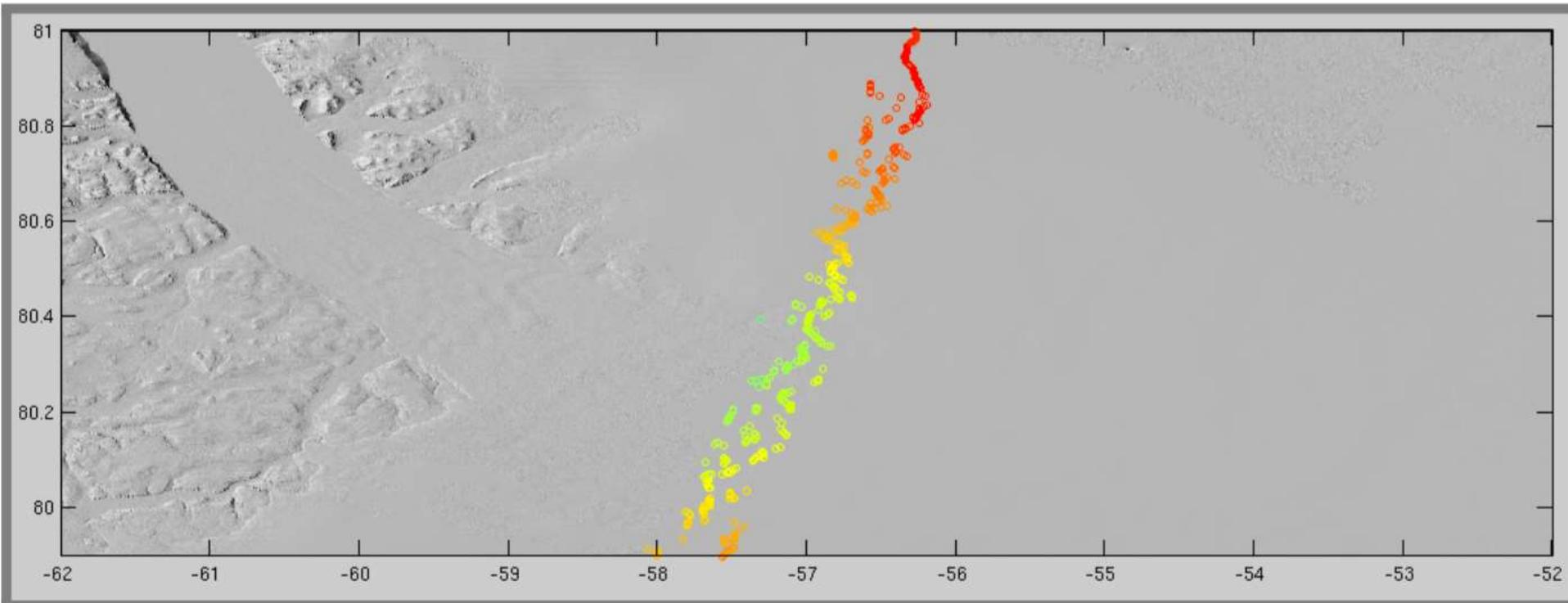


Across track echo location (Interferometry)

# Swath Processing



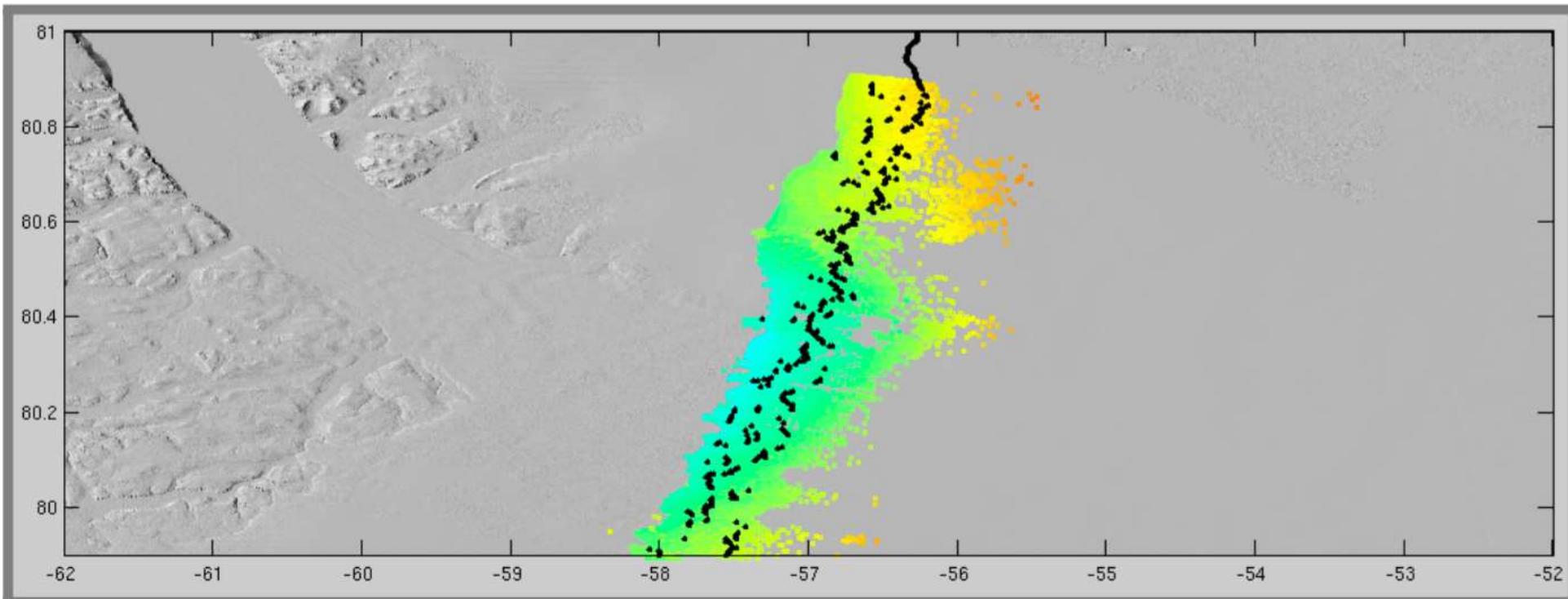
## Petermann Glacier – standard processing - 1 track



# Swath Processing



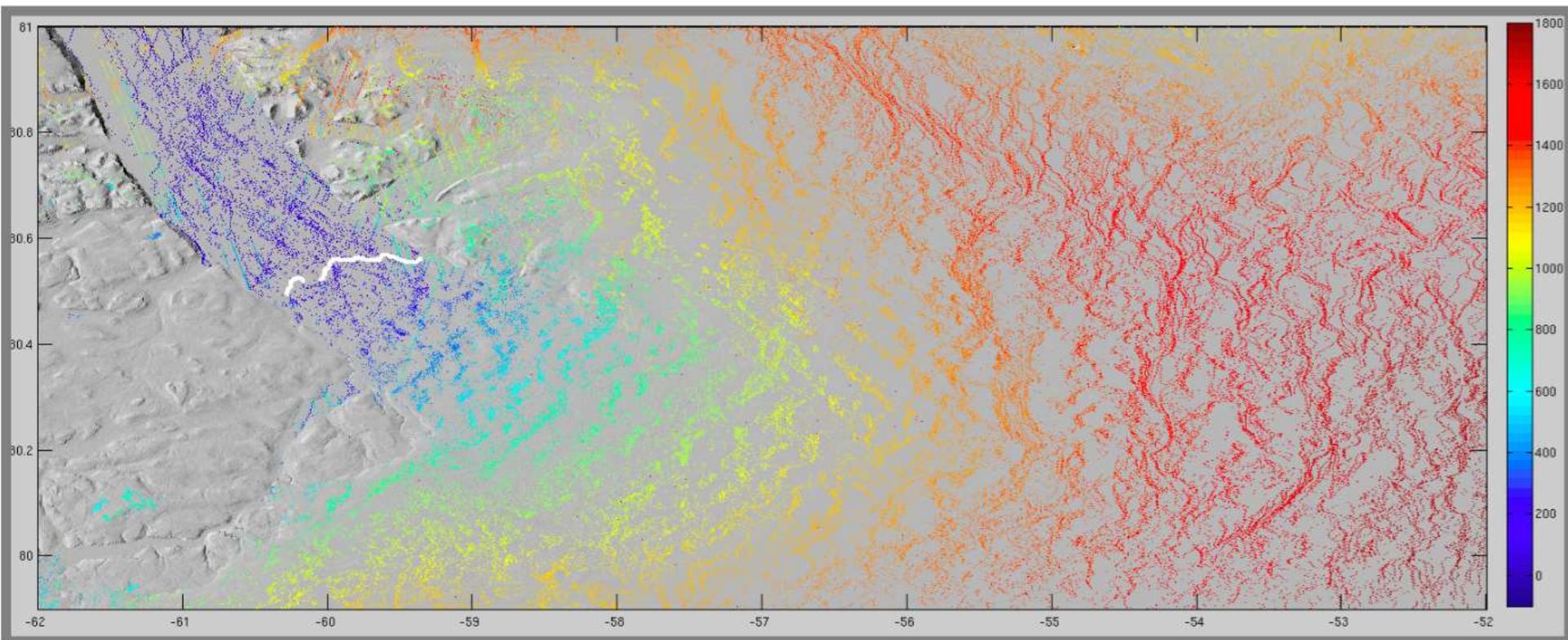
## Petermann Glacier – swath processing - 1 track



# Swath Processing



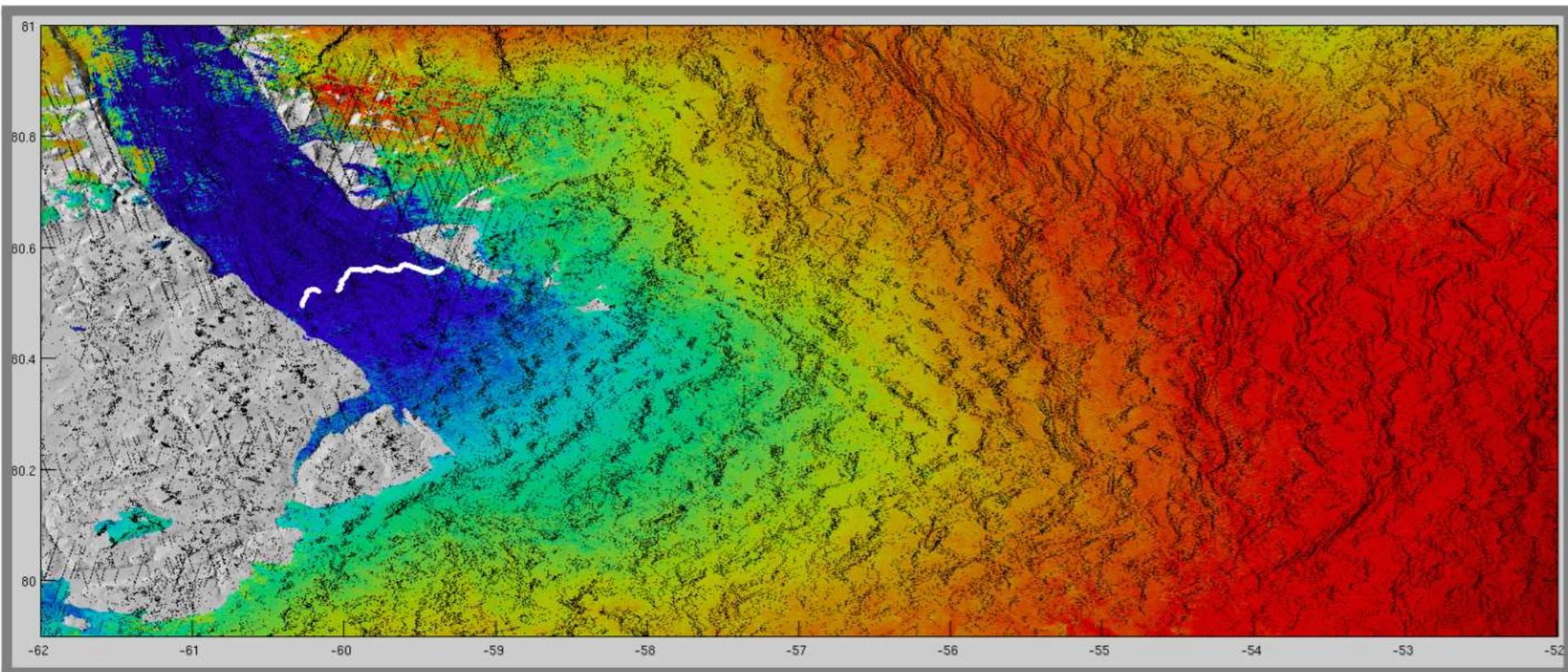
## Petermann Glacier – standard processing



# Swath Processing



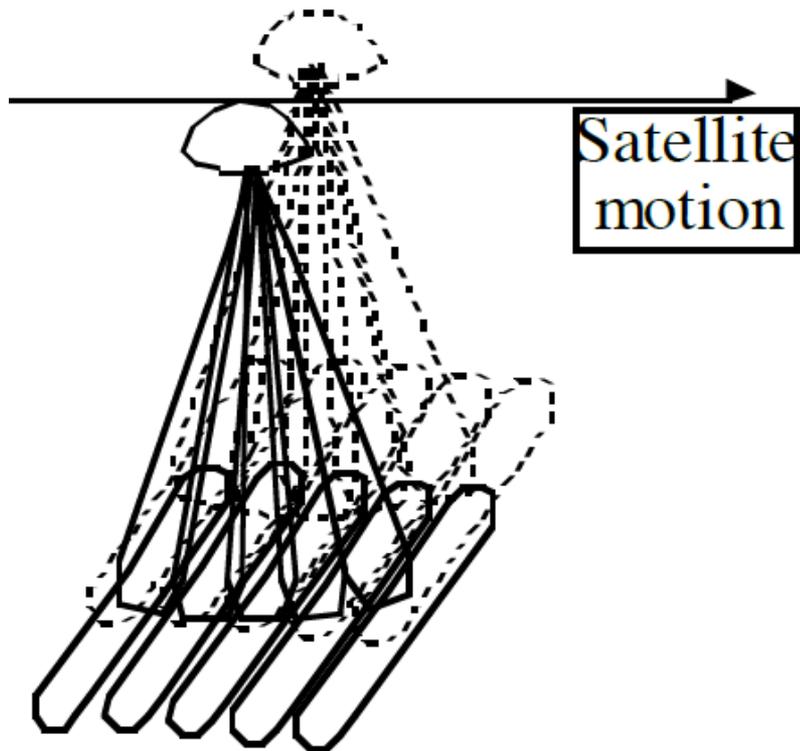
## Petermann Glacier – swath processing



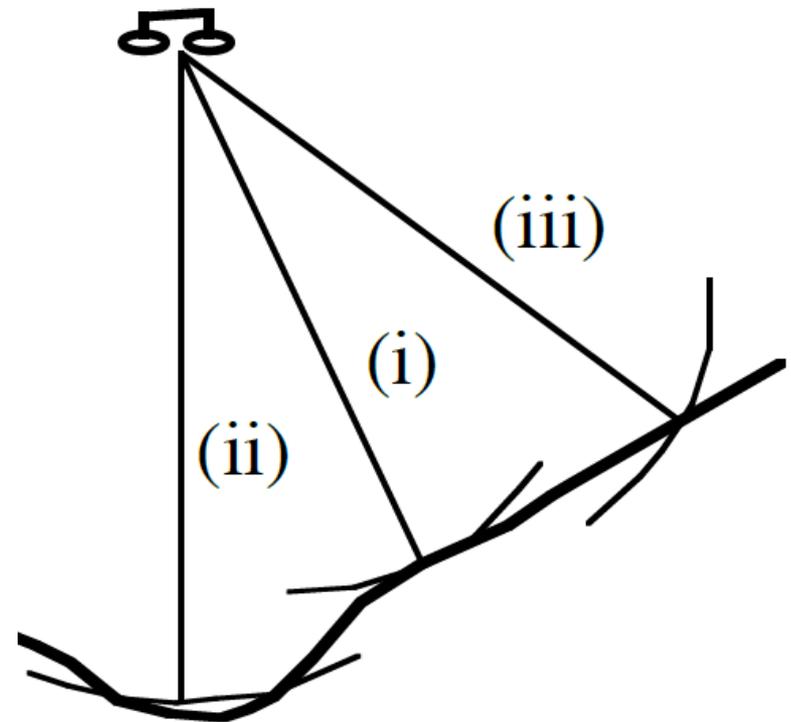
# Altimeter modes



## Synthetic Aperture mode



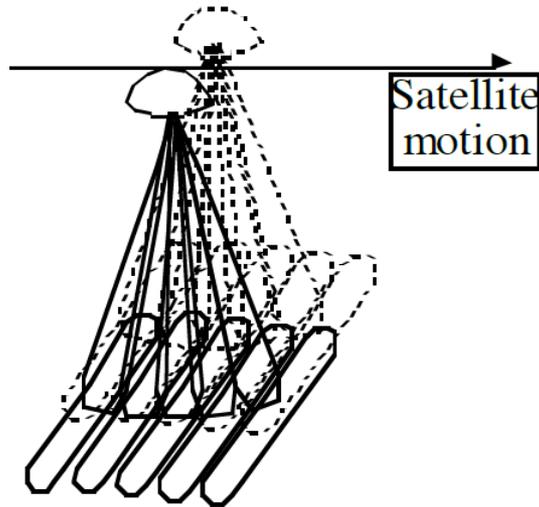
## Interferometric mode



# Altimeter modes



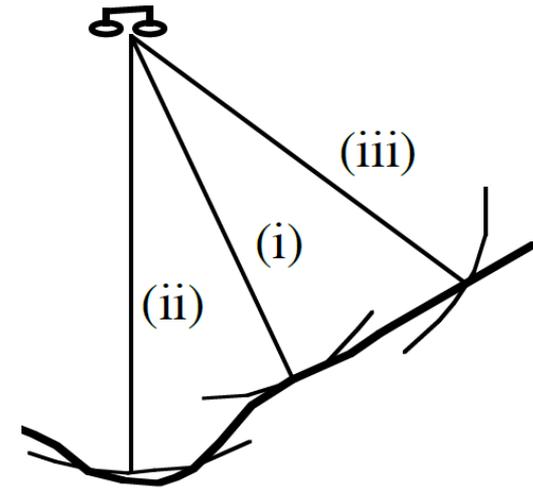
## Synthetic Aperture mode



Use on: flat surfaces

- Ice sheet interior
- Sea-ice

## Interferometric mode



Use on: surfaces with gentle slope

- Ice sheet margin
- Over land
- Coastal sea-ice

# Ku-band altimeter



- Function: SO1 & SO3  
→ Measurement of the snow/ground interface
- Heritage: CryoSat-2/  
SIRAL
- Frequency range:  
~ 13.2 to 13.7 GHz
- Half angle:  $0.6^\circ$
- Footprint: 1.7 km

Parameter Information:	
Mass incl. 1.2 m antenna (kg)	96
Power / Output power (W)	149/25
Data rate (kbit/s)	12
PRF (kHz)	17.8
Pulse length ( $\mu\text{s}$ )	50
Bandwidth (MHz)	320
Thermal operating range ( $^\circ\text{C}$ )	-35 to -5

# Ka-band altimeter



- Function: SO2  
→ Measurement of the snow surface
- Heritage: SARAL/AltiKa
- Frequency range:  
~ 35 to 37 GHz
- Half angle:  $0.3^\circ$
- Footprint: 1.4 km

Parameter Information:	
Mass incl. 1.2 m antenna (kg)	45
Power / Output power (W)	75 / 2
Data rate (kbit/s)	43
PRF (kHz)	4
Pulse length ( $\mu$ s)	110
Bandwidth (MHz)	500
Thermal operating range ( $^\circ$ C)	-40 to +85

# Calibration/validation for altimeter



- Active microwave transponders
  - ESA site in Svalbard (Fornari et al., 2013)
  - Gavdos, Greece (Hausleitner et al., 2012)
- Conventional sea-surface calibration (Mitchum, 2000)
- Cross-calibration with other altimeters

# Outline



- Introduction
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- **System engineering**

# System requirements



## Instrument requirements

### 1. Ku-band altimeter

IR1.1 Altimeter acc. = 70 ps

### 2. Ka-band altimeter

IR2.1 Altimeter acc. = 70 ps



# System requirements

## Instrument requirements

### 1. Ku-band altimeter

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IR2.1 Altimeter acc. = 70 ps

## System requirements

### 1. Pointing accuracy

SYR1.1 Pointing accuracy < 0.1°

SYR1.2 Pointing stability < 0.005°

### 2. Thermal operating range

SYR2.1 -35 °C < Top < -5 °C

SYR2.2 -40 °C < Top < 85 °C

# Mission profile

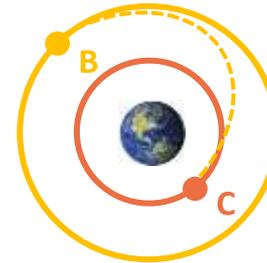


## Launch



3-day revisit time (SR1.1 & SR2.1)

## De-orbit



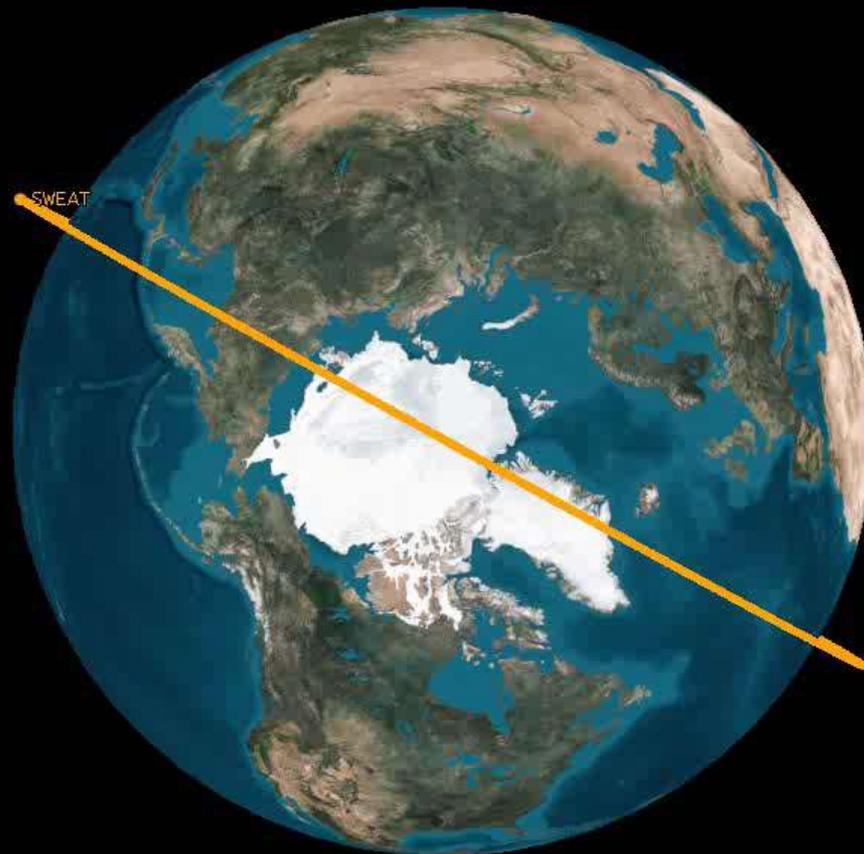
Life-time of 5 years (SR1.5 & SR2.5)



# Target orbit

- SR1.1 & SR2.1 → 3 days revisit time
  - Limited number of orbits due to fast revisit time
  - Characteristics:
    - Orbit height: 761.4 km
    - Orbit period: 100.1 min
    - Eccentricity: 0 - circular
    - Rev/day: 14.37
    - Repeating cycle: 43
    - Maximum eclipse ratio: 35%
    - Inclination: 90° - polar (SR1.3 & 2.3)
- } 2.99 days revisit time

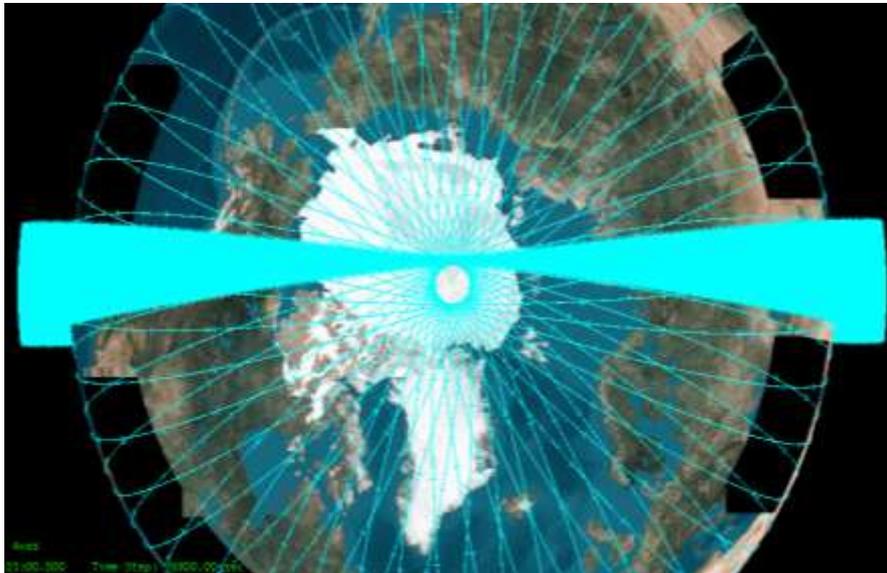
# Target orbit



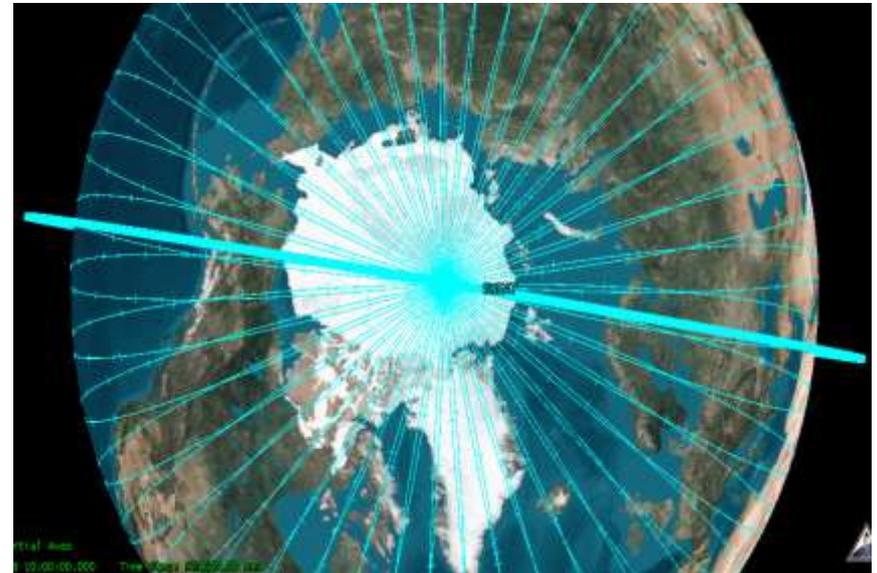
Earth Inertial Axes  
1 Jan 2026 00:05:00.000 Time Step: 300.00 sec



# Target orbit



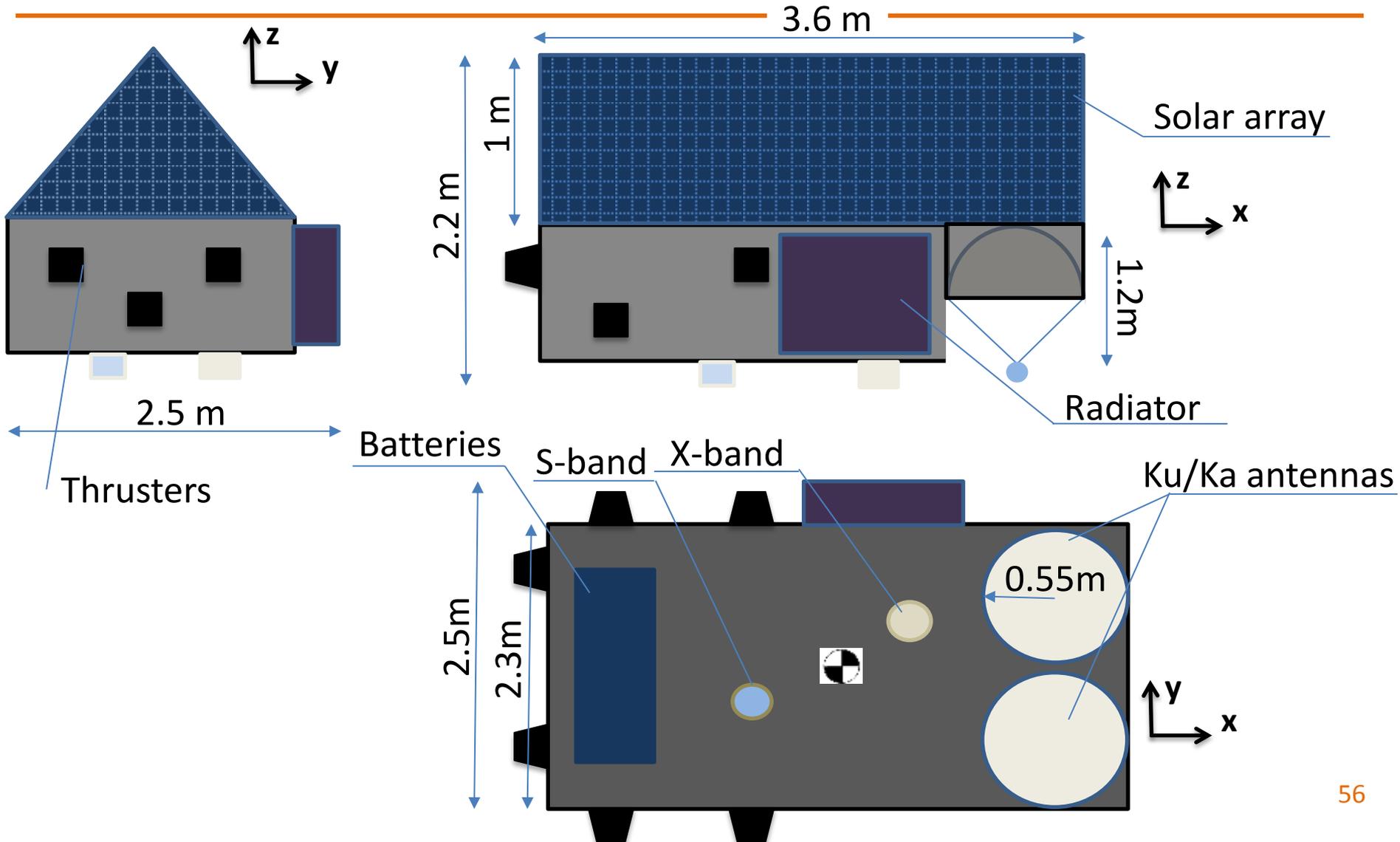
Coverage after 3 days of revisit time ( $i=92^\circ$ ) – CryoSat-2



Coverage after 3 days of revisit time ( $i=90^\circ$ )

→ 13.2% coverage of area of interest

# Spacecraft overview

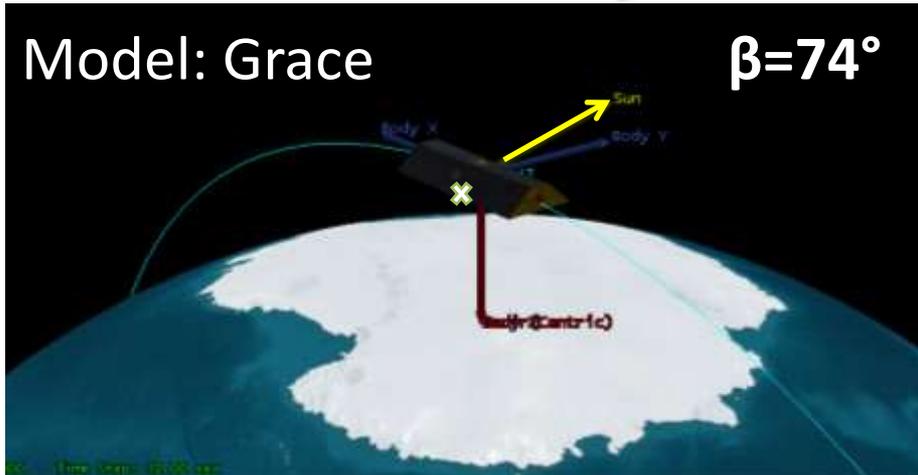
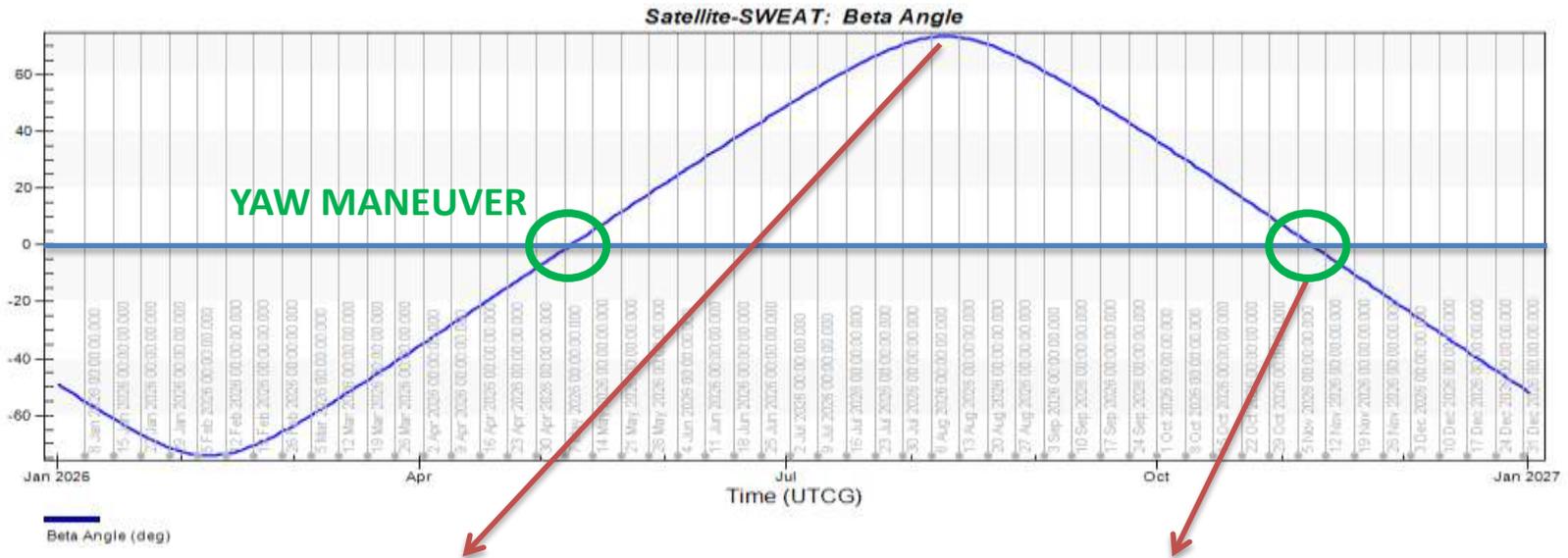


# Attitude & Orbit Control System



- SYR1.1 → 3-axis control
- Sensors:
  - 3x star tracker (Terma HE-5AS) – cold redundancy
  - Sun sensor
  - 3-axis magnetometer
  - GPS/Galileo unit
  - Laser Retro-Reflectors
- Actuators:
  - 3x magneto torquer
  - 4x momentum wheel
  - 6x thrusters

# Attitude & Orbit Control System



# Thermal Control System



- Payload:
  - SYR2.1 & SYR2.2 → no active thermal control required
  - Radiator & heat pipes
  - Louvers
- Platform:
  - Heat pipes
  - Multi-layer insulation
  - Thermal coatings
  - Active heaters for batteries (20°C – 40°C)

# Power budget



Subsystem	Power (W)
<b>Payload</b>	
Ku-band	149
Ka-band	75
<b>Attitude &amp; Orbit Control System</b>	361
<b>Thermal control system</b>	5
<b>Power</b>	115
<b>Telemetry, Tracking &amp; Control</b>	
S-band receiver	4
S-band transmitter	14
X-band	45
Emergency UHF	1
<b>On-Board Data Handling</b>	5
<b>Propulsion</b>	5
<b>Total</b>	779
<b>Total including system margin (20%)</b>	

# Power budget



Subsystem	Power (W)	Duty cycle per orbit (%)	Average power per orbit (W)
<b>Payload</b>			
Ku-band	149	40%	59.6
Ka-band	75	40%	30
<b>Attitude &amp; Orbit Control System</b>	361	100%	361
<b>Thermal control system</b>	5	100%	5
<b>Power</b>	115	100%	115
<b>Telemetry, Tracking &amp; Control</b>			
S-band receiver	4	100%	4
S-band transmitter	14	25%	3.5
X-band	45	25%	11.25
Emergency UHF	1	100%	1
<b>On-Board Data Handling</b>	5	100%	5
<b>Propulsion</b>	5	100%	5
<b>Total</b>	779		588.55
<b>Total including system margin (20%)</b>			706.26

# Power



- Solar arrays
  - Triple-junction GaAs solar cells
  - Efficiency end-of-life, including power control system: 20%
  - Total size & mass: 13 m<sup>2</sup> & 54 kg
- Batteries
  - Li-ion batteries
  - Redundancy
  - Capacity & mass: 110 Ah & 26 kg for each battery
- Power control system

# Mass budget



Subsystem	Mass including margin (kg)
<b>Payload</b>	
Ku-band	96
Ka-band	45
<b>Structure</b>	50
<b>Thermal control system</b>	13
<b>Power</b>	186
<b>Telemetry, Tracking &amp; Control</b>	50
<b>On-Board Data Handling</b>	2
<b>Attitude &amp; Orbit Control System</b>	168
<b>Propulsion</b>	0.23
<b>Total dry mass</b>	610.23
<b>Total dry mass including system margin (20%)</b>	732.68
Propellant	34
<b>Total wet mass</b>	766.28
Launch adapter	77
<b>Total launch mass</b>	843.28



# Telemetry, Tracking & Control

- Payload: X-band
  - Downlink: 8.025 – 8.4 GHz, 10 - 300 Mbit/s
- Housekeeping: S-band
  - Uplink: 2.025 – 2.11 GHz, 64 – 1024 kbit/s
  - Downlink: 2.2 – 2.29 GHz, 1024 – 6250 kbit/s
- Emergency UHF

Payload	Data volume per orbit (Mbit)
Ku	23776
Ka	63402
<b>Total</b>	<b>87178</b>

Spacecraft	Data volume per orbit (Mbit)
Housekeeping	872
<b>Total</b>	<b>872</b>

# On-Board Data Handling



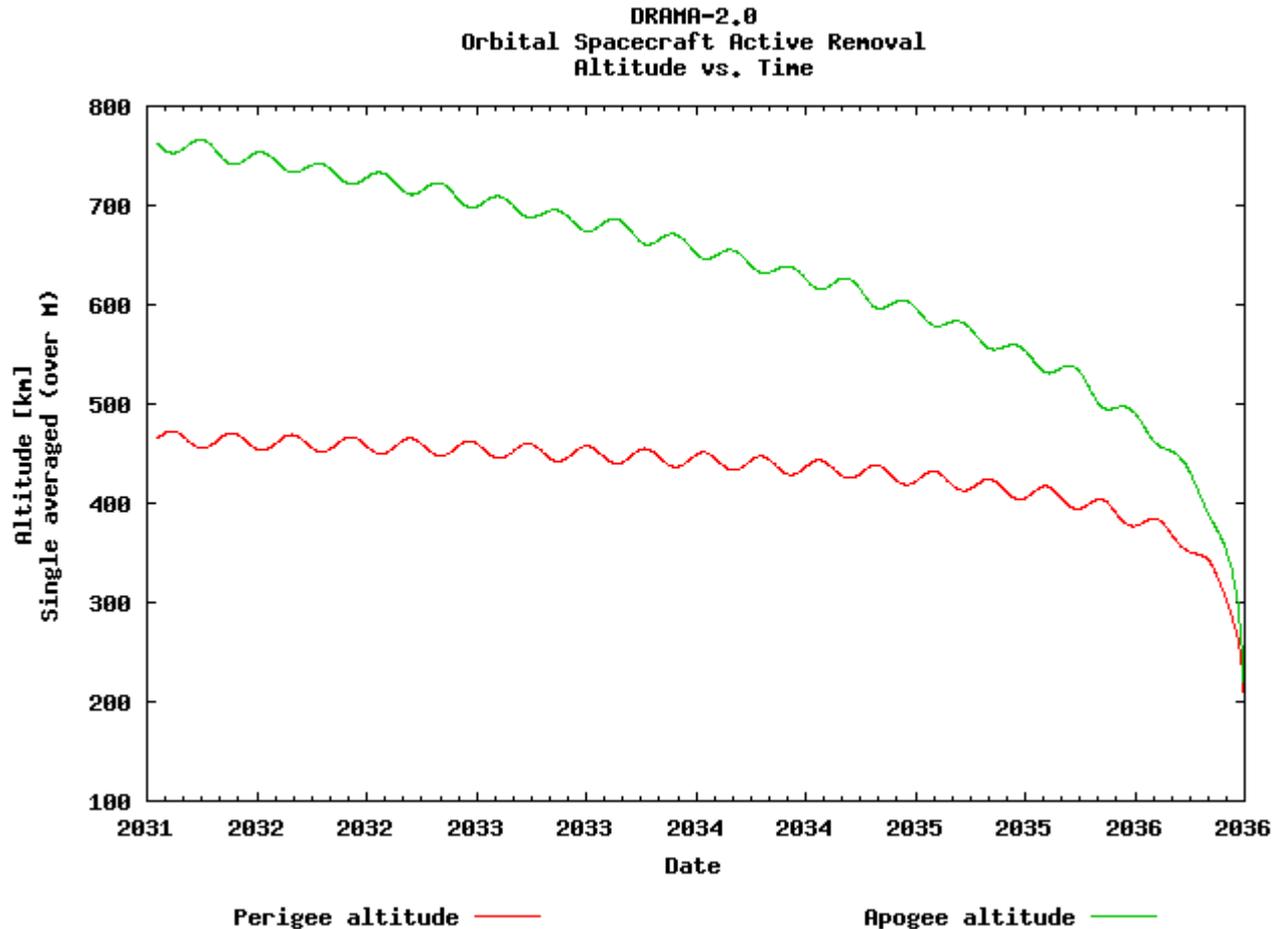
- Microprocessor: ERC32
  - Cryosat-2 heritage
  - Redundancy
- Mass memory:
  - Assumption: 3 orbits without ground station contact
  - 3.1 GB required

# Propulsion



- Hydrazine thrusters
  - Attitude & orbit control
  - Collision avoidance
  - De-orbit
  - $I_{sp} = 225 \text{ s}$
- Delta\_V budget
  - De-orbit: 79 m/s
  - Fuel mass: 34 kg (including margins)

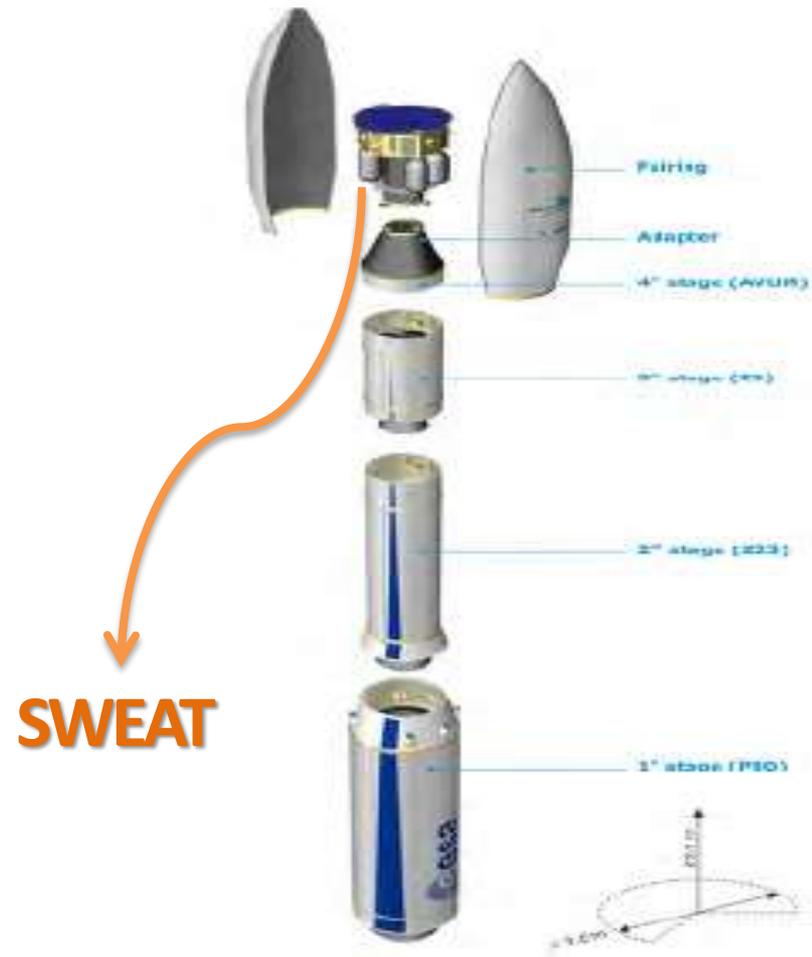
# Propulsion



# Launcher



- SWEAT
  - Launch mass: 843 kg
  - Volume: 20.1 m<sup>3</sup>
- Vega launcher:
  - Payload mass: 1430 kg
  - Volume inside fairing: 41.8 m<sup>3</sup>



# Operations & ground segment

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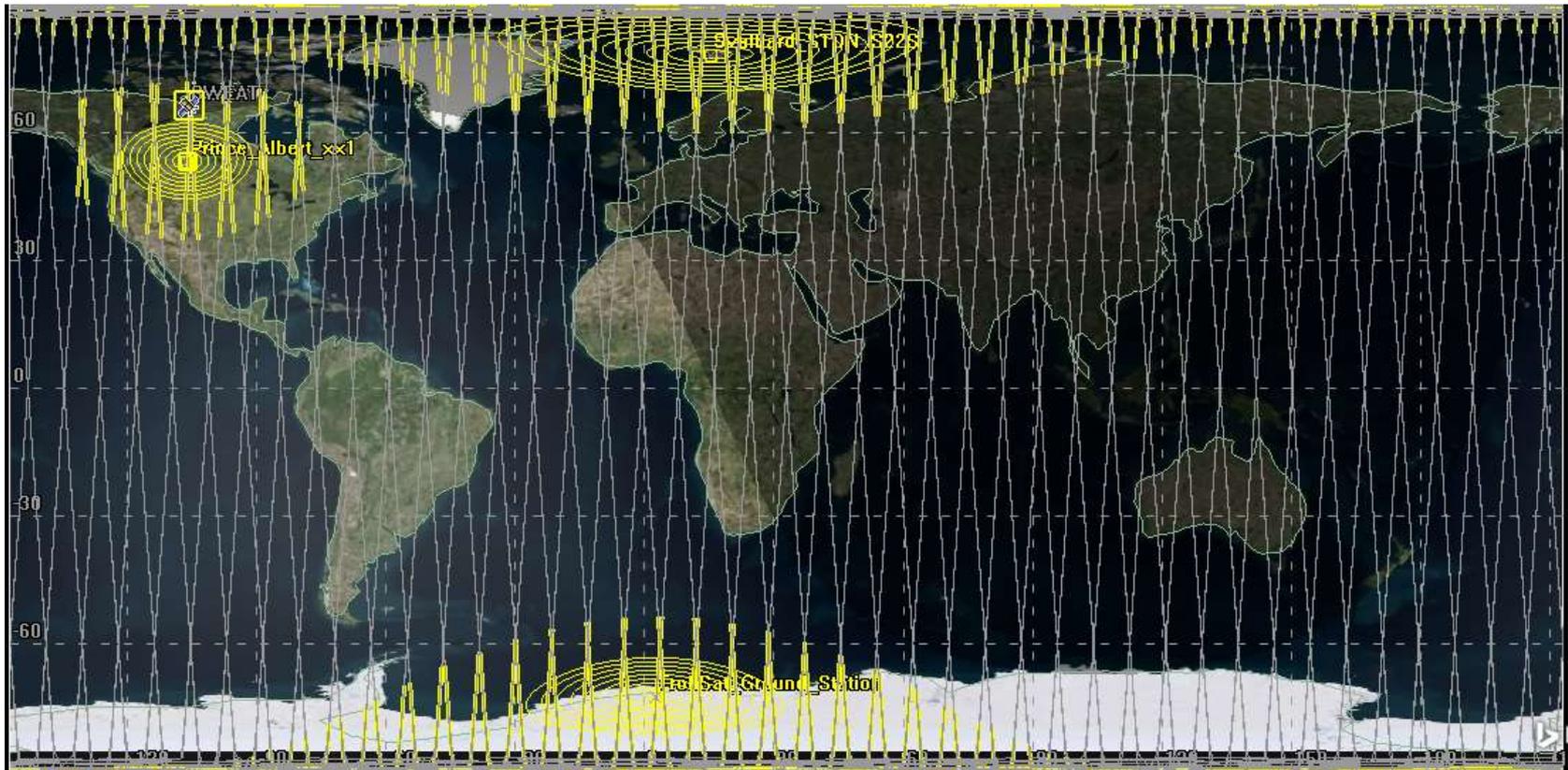
- Mission control centre: ESOC
- Estrack ground stations located close to poles:
  - Troll, Antarctica
  - Svalbard, Norway
  - Prince Albert, Canada

# Operations & ground segment



Prince Albert (Canada)

Svalbard (Norway)



Troll (Antarctica)

# Operations & ground segment



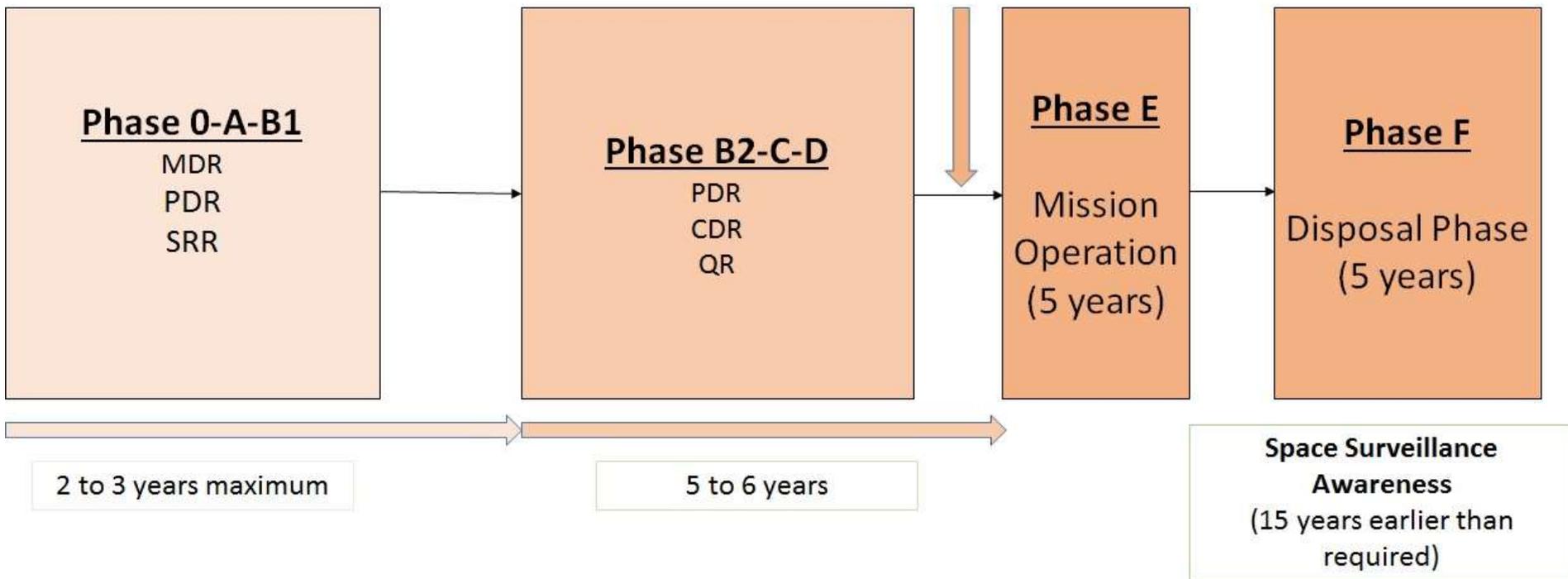
- Required downlink time per orbit:
  - Payload: 15 min
  - Housekeeping: 3 min
- Mean total access time per orbit: 23 min

	S-band up	S-band down	X-band down
Frequency range [GHz]	2.025-2.210	2.2-2.29	8.085-8.4
Data rate [bit/s]	(64-1024)k	(1024-6250)k	(10-500)M
Transmit power [W]	5000	2.2	5
EB/EN (Svalbard) [dB]	60.8	29.5	23.3

# Development schedule



Envisaged  
Launch  
(2026)



# Risk assessment



- Ku-band altimeter
  - TRL = 6
  - Heritage: Cryosat-2
- Ka-band altimeter
  - TRL = 6
  - Heritage: SARAL
- No other critical technology identified



# Risk assessment

Low	Severe
Moderate	Critical

Event	Severity	Likelihood	Total Risk	Mitigation
Obsolescence	3	B	6	Longer phase 0-A-B1
Something not built to specifications	3	B	6	Severity could range from development delays to impaired data gathering
AOCS fails	4	B	8	Redundant system
Development of hydrological models reduce scientific value	4	A	4	No known missions are currently planned to investigate SWE in the same way as SWEAT

# ROM cost breakdown

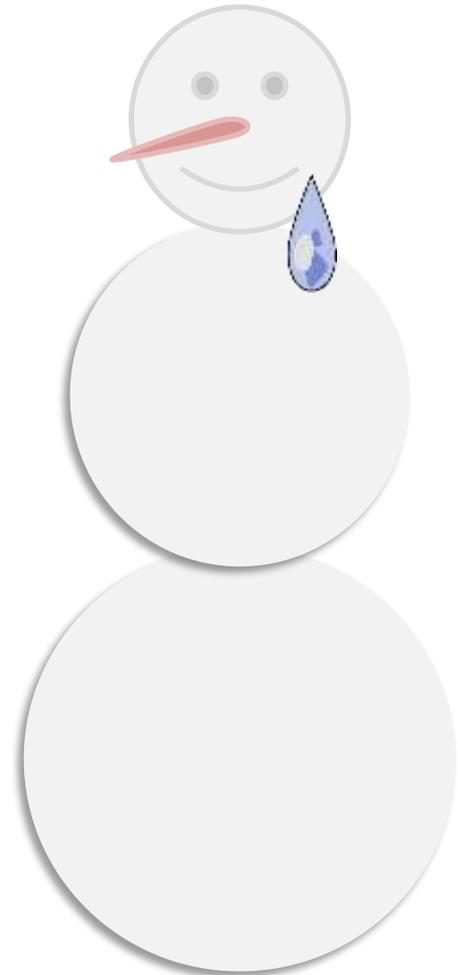


Item	Cost (M €)
(Instrument development (before start))	15
Industrial cost spacecraft (Heritage Cryosat)	100
Payload	80
Vega Launcher	45
Scientific data processing (high data rating processing intensive)	35
Operational cost	45
Airplane campaign	1
Project Team (10% of industrial cost + scientific data processing + operational cost)	25
Contingency (15 % of industrial cost + scientific data processing + operational cost + project team)	43
<b>Overall cost</b>	<b>389</b>

# Outreach & education possibilities



- Public theme day to improve awareness of SWE
- Involve students in engineering process
- Mascot & promotional merchandising (e.g. paper model)
- Communication via social networks
- Distribute downlinked data via internet (free data access)



# Summary



- Snow Water Equivalent (SWE) is very important in hydrological and climate processes
- SWEAT:
  - Measuring SWE directly from space at high spatiotemporal resolution
  - Generating data to improve current SWE products
  - Using a novel technological combination of Ku- and Ka-band radar altimeters





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Thank you for your attention



# Go Team Orange!

